

# Structure function and parton distribution parameterisations

P. Marage - ULB

Joint Dutch – Belgian – German Graduate School

Texel (NL)

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# Motivations

## 1. Structure of proton (and other objects : photon, pion, pomeron)

- + « conventional » pdf's, in terms of quarks and gluons momentum share ( $x$ )
- + « unintegrated » parton distributions ( $x$  and  $k_T$ )
- + parton correlations (GPD)
- + who is carrying proton spin ? (polarised pdf's)

## 2. Tests and deeper understanding of QCD (write the Lagrangian // understand QCD)

- + historical : scaling – quark parton model
  - $Q^2$  (DGLAP) evolution of structure functions
  - factorisation theorems // higher order calculations // etc
- + many fundamental open questions :
  - very high energy (BFKL evolution ), diffraction, saturation
  - soft to hard transition

# Motivations (2)

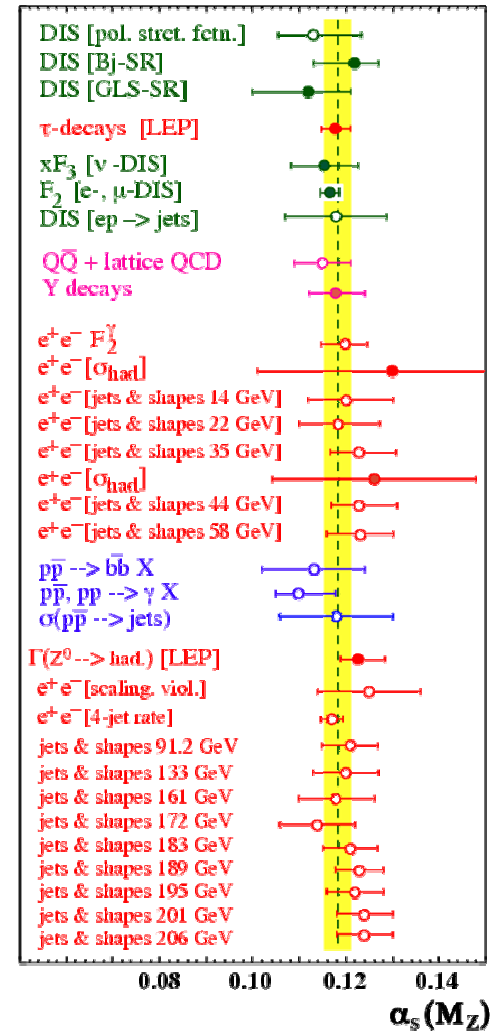
## 3. Precision measurements of SM processes / parameters

- +  $\Lambda_{\text{QCD}}$ ,  $\alpha_s$  DIS, jets, heavy quark production
- + Higgs production

## 4. Input for any BSM studies

- + feasibility studies
- + SM backgrounds to any discovery claim

**Basic tool for any physics at LHC !**



# Plan

1. Deep inelastic scattering and structure functions
2. Quark parton model
3. QCD evolution, DGLAP equations
4. Factorisation theorems and parton density functions
5. Parton distribution parameterisations
6. Parton distribution uncertainties
7. Some (of many) uncovered topics
8. Some references

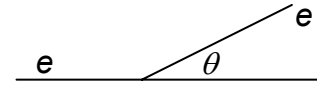
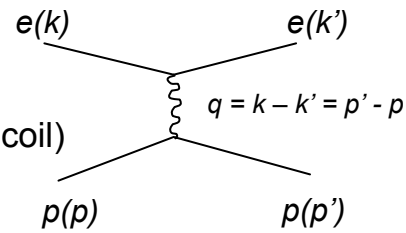
# 1. Deep inelastic scattering and structure functions

## 1. Rutherford formula

scattering of spin 0 ( $\alpha$  particle) by spin 0 nucleus (no recoil)

$$\frac{d\sigma}{d\Omega} = Z^2 \frac{\alpha^2}{4E^2 \sin^4(\theta/2)} \cdot F(q^2) = \int \rho(R) e^{iqR} d^3R$$

form factor : extended target (Fourier transform of charge distribution)

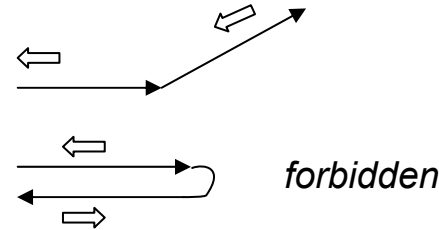


## 2. Mott formula

scattering of spin 1/2 (electron) by spin 0 nucleus (neglecting electron mass)

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E^2 \sin^4(\theta/2)} \frac{E'}{E} \cos^2(\theta/2)$$

Rutherford
electron spin  
recoil mass



## 3. Spin 1/2 – spin 1/2 point-like scattering (« e – $\mu$ »)

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E^2 \sin^4(\theta/2)} \frac{E'}{E} \left[ \cos^2(\theta/2) + \frac{Q^2}{2M^2} \sin^2(\theta/2) \right]$$

Mott
magnetic interaction ( $\sigma^{\mu\nu}$ )

$$= \frac{4\pi\alpha^2}{Q^4} (1 - y + y^2/2) \quad \text{with } Q^2 = -q^2 = 4EE' \sin^2(\theta/2) \quad y = 1 - E'/E \cos^2(\theta/2)$$

## 4. Extended spin 1/2 target ; form factors

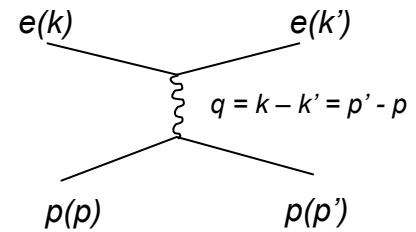
$$M = \frac{4\pi\alpha^2}{Q^4} J_\mu^{(e)}(q) J^{\mu(p)}(q)$$

with  $J_\mu^{(e)} = \bar{u}(k') \gamma_\mu u(k)$

$$J^{\mu(p)} = \bar{u}(p') \left[ F_1(q^2) \gamma^\mu + i \frac{\kappa}{2M} F_2(q^2) q_\nu \sigma^{\mu\nu} \right] u(p)$$

covariance :  $\gamma^\mu \quad q^\mu \quad q_\nu \sigma^{\mu\nu} \oplus$  current conservation :  $\partial_\mu J^{\mu(p)}(x) = 0 \Rightarrow q_\mu J^{\mu(p)}(q) = 0$

$F_1(q^2) \quad F_2(q^2)$  : 1 invariant variable (+ trivial azimuthal angle) + CM energy  $\sqrt{s}$



In practice, combine  $F_1$  and  $F_2 \rightarrow G_E$  and  $G_M$  « form factors »

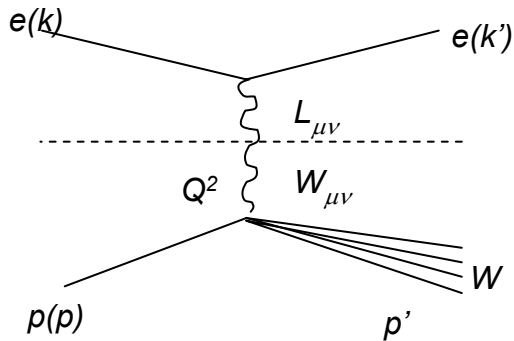
$\rightarrow$  *Rosenbluth formula* for e p elastic scattering

$$\frac{d\sigma}{d\Omega} = \frac{d\sigma}{d\Omega}(\text{Mott}) \cdot \left[ \frac{G_E^2 + (Q^2/4M^2) G_M^2}{1 + Q^2/4M^2} + \frac{Q^2}{4M^2} 2 G_M^2 \tan^2(\theta/2) \right]$$

Experimentally :  $G_E \simeq G_M \simeq \frac{1}{(Q^2 + 0.71^2)^2}$  (dipole parameterisation)

i.e.  $1/Q^8$  compared to point-like target !

## 5. Deep inelastic scattering



$$M \sim \left[ J_{\mu}^{(e)}(q) J^{\mu(p)}(q) \right] + cc = L_{\mu\nu} W^{\mu\nu}$$

$$L_{\mu\nu} = 2k_{\mu} k'_{\nu} + 2k'_{\mu} k_{\nu} - Q^2 g_{\mu\nu} \quad (\text{for em interactions, } \gamma \text{ exchange})$$

$$W^{\mu\nu} = -W_1 g^{\mu\nu} + W_2 p^{\mu} p^{\nu} + W_4 q^{\mu} q^{\nu} + W_5 (p^{\mu} q^{\nu} + q^{\mu} p^{\nu})$$

current conservation  $q_{\nu} W^{\mu\nu} = q_{\mu} W^{\mu\nu} = 0 \Rightarrow$  only 2 of the 4  $W$  functions contribute :  $W_1$  and  $W_2$

proton dissociation  $\rightarrow$  one additional invariant  $W$  in addition to  $Q^2$  (and  $s$ )

$\rightarrow W_{1,2}(Q^2, W)$  or any combination, in particular  $\nu = p \cdot q / M$  or  $x = Q^2 / 2 p \cdot q$

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E^2 \sin^4(\theta/2)} \frac{E'}{E} \left[ W_2(\nu, Q^2) \cos^2(\theta/2) + 2W_1(\nu, Q^2) \sin^2(\theta/2) \right]$$

$W_{1,2}(Q^2, W)$  : physical observables (measured quantities)



## DIS cross section

$$F_1(x, Q^2) = MW_1 \quad F_2(x, Q^2) = \nu W_2$$

$$\frac{d^2\sigma}{dx dy} = \frac{d^2\sigma}{dx dQ^2} x s = \frac{4\pi\alpha^2}{Q^4} s \left[ (1-y) F_2(x, Q^2) + \frac{y^2}{2} 2xF_1(x, Q^2) \right] \quad \text{em interaction : NC } \gamma \text{ exchange}$$

$$= \dots \left[ \dots \pm \frac{G_F^2}{8\pi^2} \frac{Q^4}{(1+Q^2/M^2)} y(1-y/2) xF_3(x, Q^2) \right] \quad \text{weak interaction : CC } W \text{ exchange}$$

"polarised cross sections" (with different  $y$  dependences)

$$\sigma_T = \frac{1}{2}(\sigma^+ + \sigma^-) = \frac{4\pi\alpha^2}{s} 2 F_1 \quad \sigma_L = \sigma^0 = \frac{4\pi\alpha^2}{sx} (F_2 - 2 xF_1) \quad F_L = \frac{1}{2x} (F_2 - 2xF_1)$$

NB At high  $Q^2$  (HERA), in NC also significant contributions of Z exchange +  $\gamma$ -Z interference

In the following, we shall concentrate on the structure function behaviour,  
but don't forget the  $1/Q^4$  factor in the cross section !

## For completeness

Kinematical variables definitions and relations

$$s = (p+k)^2 \quad Q^2 = -q^2 \quad \nu = p \cdot q / 2M \quad x = Q^2 / 2M \nu \quad y = \frac{p \cdot q}{p \cdot k}$$

$$W^2 = Q^2 (1/x - 1) + M^2 \approx Q^2 / x \approx y s \quad Q^2 \approx x y s \quad 0 \leq x \leq 1 \quad 0 \leq y \leq 1$$

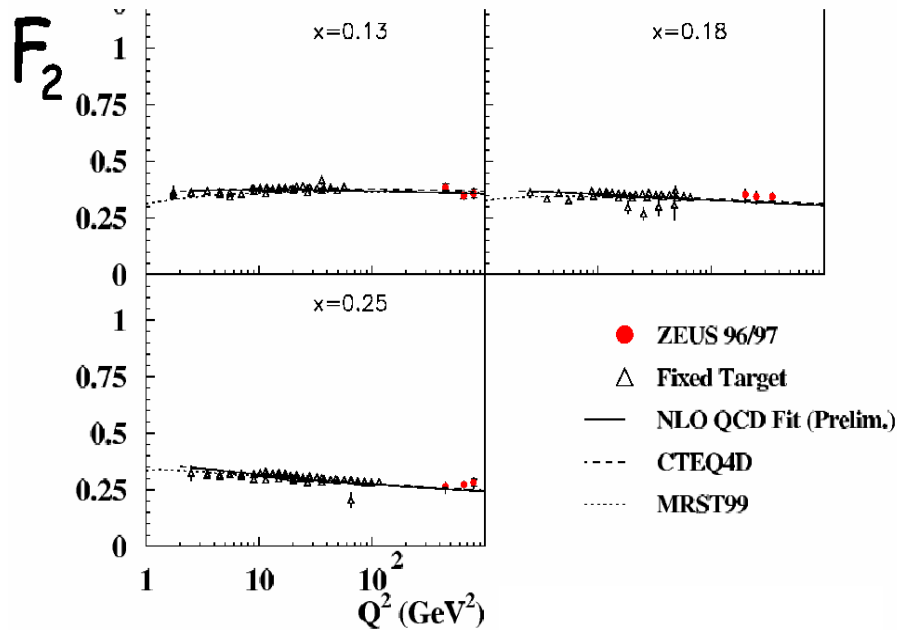
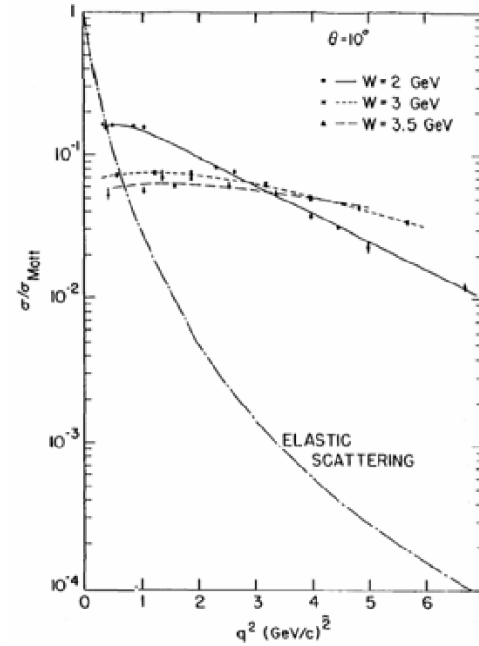
$$\text{Laboratory frame} \quad \nu = E - E' \quad y = \frac{\nu}{E} \quad Q^2 = 4EE' \sin^2(\theta/2) \quad y = 1 - E'/E \cos^2(\theta/2)$$

$$\text{CM frame} \quad 1 - y = \frac{1}{2}(1 + \cos \theta^*) \rightarrow \text{controls helicity : } y = 1 \leftrightarrow \text{backward scattering, forbidden for long. photon}$$

## 2. Quark parton model

# Scaling

$ep$  scattering SLAC 1969, for sufficient energy and  $Q^2$  :  
 observation of « scaling »  
 i.e. no strong  $Q^2$  dependence of cross section  
 (except for common  $1/Q^4$ )



# Point-like partons

Compare

$$\frac{d^2\sigma}{d\Omega dE'} = \frac{4\alpha^2 E'^2}{Q^4} \left[ \cos^2(\theta/2) + \frac{Q^2}{2M^2} \sin^2(\theta/2) \right] \delta\left(\nu - \frac{Q^2}{2M}\right) \quad (1) \text{ elastic scattering on point-like spin 1/2 target}$$

$$= \frac{4\alpha^2 E'^2}{Q^4} \left[ W_2(\nu, Q^2) \cos^2(\theta/2) + 2W_1(\nu, Q^2) \sin^2(\theta/2) \right] \quad (2) \text{ deep inelastic scattering}$$

If DIS is in fact **elastic scattering** on **spin 1/2 pointlike** « partons » with charge  $e$ , momentum  $p$ , mass  $m$ , then one has (using  $\delta(x/a) = a \delta(x)$ )

$$\nu W_2(\nu, Q^2) = e^2 \delta\left(1 - \frac{Q^2}{2m\nu}\right) \quad 2mW_1(\nu, Q^2) = e^2 \frac{Q^2}{2m\nu} \delta\left(1 - \frac{Q^2}{2m\nu}\right) \quad (3)$$

→ scattering on partons explains scaling,

i.e. the fact that the structure functions  $W_{1,2}$  depend on one variable **only** :

$$x = Q^2/2m\nu \text{ – or equivalently } W \text{ or } \nu \text{ –}$$

and **not** separately on  $Q^2$  and  $\nu$

## Interpretation of the $x$ variable

Let the hit quark carry be parallel to the proton and carry the fraction  $\xi$  of the proton momentum  $p$

In the **Breit frame**, i.e. where photon is purely space-like :

$$\text{initial state inv. mass} = m_q^2 + (\xi p)^2 - (\xi p + q)^2$$

$$= m_q^2 + (\xi p)^2 - (\xi p)^2 - 2\xi p \cdot q - q^2 = m_q^2 \text{ (final state quark)}$$

$$\Rightarrow \xi = \frac{Q^2}{2p \cdot q} = x$$

$x$  is, in the Breit frame, the momentum fraction of the proton carried by the struck quark

NB Breit frame is also called the « **brick wall** » frame :

$$Q^2 = 2\xi p \cdot q \Rightarrow q = -2\xi p$$

$$\begin{array}{l} (\sqrt{m_q^2 + (\xi p)^2}, \xi p, 0, 0) \quad (0, q, 0, 0) \\ \hline (\sqrt{m_q^2 + (\xi p)^2}, -\xi p, 0, 0) \quad (0, -2\xi p, 0, 0) \end{array}$$

More generally :  $x$  = fraction of proton momentum carried by the quark in *IFM* (**infinite momentum frame**), where masses and transverse momenta can be neglected

# Parton distribution functions

**Incoherent scattering** on constituent partons, « frozen » in the proton by time dilatation (NB also long contraction) :  
 parton-parton interaction time  $\sim \gamma / R_p \ll$  high energy  $\gamma$  p interaction time

$$\sigma(e p) = \sum_i \int dx f_i(x) \sigma(e q_i) \quad (4)$$

hence :

where  $f_i(x)$  = probability to find in the proton parton of species  $i$  carrying momentum fraction  $x$  (in IMF)

NB :  $f_i$  = valence + sea

Using  $p_i = x P_p$  and thus formally  $m = xM$  ( $= 0$  in IMF !), putting in (4) the  $W_{1,2}$  structure functions (3)

and integrating over the  $\delta$  function, only an  $x$  dependence remains at high energy, high  $Q^2$  (DIS regime)

$$\begin{aligned} \nu W_2(\nu, Q^2) &= e^2 \delta\left(1 - \frac{Q^2}{2m\nu}\right) \rightarrow F_2(x) = \sum_i e_i^2 x f_i(x) \\ MW_1(\nu, Q^2) &= e^2 \frac{Q^2}{2m\nu} \delta\left(1 - \frac{Q^2}{2m\nu}\right) \rightarrow F_1(x) = \frac{1}{2x} F_2(x) \end{aligned} \quad \left. \vphantom{\begin{aligned} \nu W_2(\nu, Q^2) \\ MW_1(\nu, Q^2) \end{aligned}} \right\} \text{QPM}$$

$$\frac{d^2\sigma}{dx dy} = \frac{2\pi\alpha^2}{Q^4} s \left[ 1 + (1-y)^2 \right] \sum_i e_i^2 x f_i(x) \quad \text{QPM}$$

Note that in QPM  $F_2 = 2xF_1$  (**Callan Gross relation**)

$\rightarrow$  measurement of  $F_L = 0$  indicates that **partons** are massless spin 1/2 objects  $\rightarrow$  identified with **quarks**

(Note also if quark spin were 0,  $\sigma_T = 0$  – cf. Mott formula)

# Sum rules, first pdf measurements

$$\sum_i \int dx x f_i(x) = 1 \quad \text{momentum conservation}$$

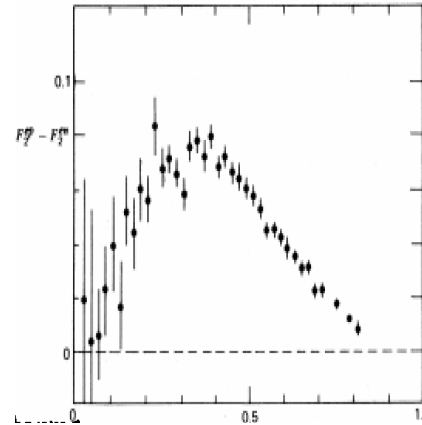
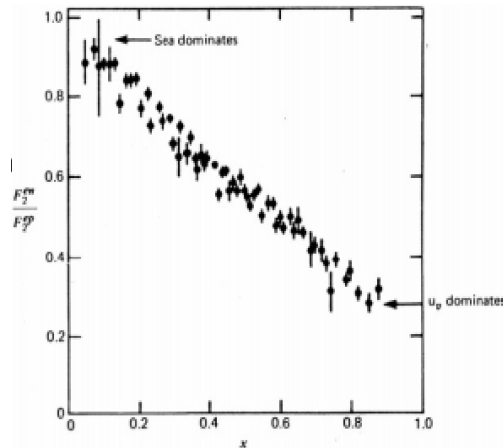
$$\int_0^1 dx [u(x) - \bar{u}(x)] = 2 \quad \int_0^1 dx [d(x) - \bar{d}(x)] = 1 \quad \text{valence quarks} \quad \int_0^1 dx [s(x) - \bar{s}(x)] = 0 \quad \text{sea - idem for } c, b, t$$

$$\frac{1}{x} F_2(x) = \sum_q e_q^2 x f_q(x) \quad \text{proton} \leftrightarrow \text{neutron} : u(x) \leftrightarrow d(x) \quad (\text{isospin})$$

$$\frac{1}{x} F_2^{ep}(x) = \frac{4}{9} u_V + \frac{1}{9} d_V + \left( \sum_{SEA} e_q^2 S(x) \right) \quad \frac{1}{x} F_2^{en}(x) = \frac{1}{9} u_V + \frac{4}{9} d_V + \left( \sum_{SEA} e_q^2 S(x) \right)$$

$$\frac{F_2^{en}(x)}{F_2^{ep}(x)} \xrightarrow{x \rightarrow 0} 1 \quad \frac{F_2^{en}(x)}{F_2^{ep}(x)} \xrightarrow{x \rightarrow 1} \frac{u_V + 4d_V}{4u_V + d_V}$$

$$\frac{1}{x} (F_2^{ep}(x) - F_2^{en}(x)) = \frac{1}{3} (u_V(x) - d_V(x))$$



Using  $\int_0^1 dx F_2^{ep}(x)$  and  $\int_0^1 dx F_2^{en}(x)$   $\rightarrow$  gluons  $\approx 0.46$  proton momentum



- Fixed target **electron and muon** scattering on hydrogen and nuclei  $\rightarrow F_2$  for  $p$  and  $n$
- **neutrino** scattering  $\rightarrow F_2$  and  $xF_3$

$$F_2^{V+\bar{V}} = x \sum_q (q(x) + \bar{q}(x))$$

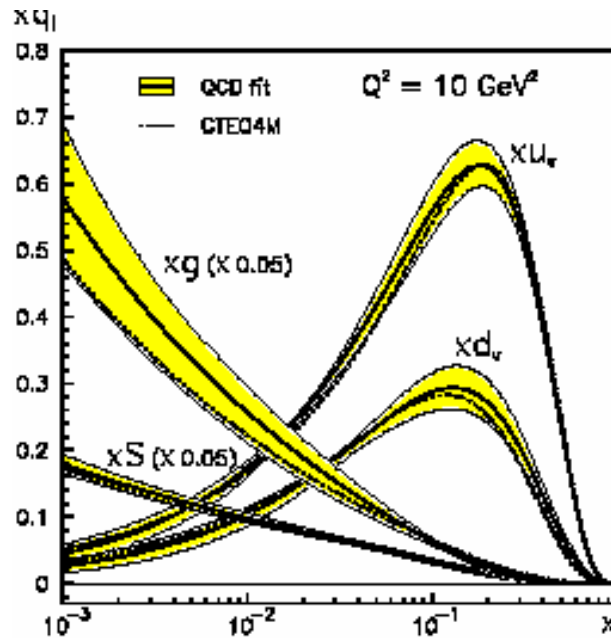
$$F_2^{V-\bar{V}} = x \sum_d d^V(x) - x \sum_u u^V(x)$$

$$F_3^{V+\bar{V}} = \sum_q (q(x) - \bar{q}(x)) = \sum_q q^V(x)$$

$$F_3^{V-\bar{V}} = \sum_d (d(x) + \bar{d}(x)) - \sum_u (u(x) + \bar{u}(x))$$

$\rightarrow$  first **determinations of pdf's**

NB Remember that structure functions are observables, but pdf's are « theoretical » quantities !



# 3. QCD evolution

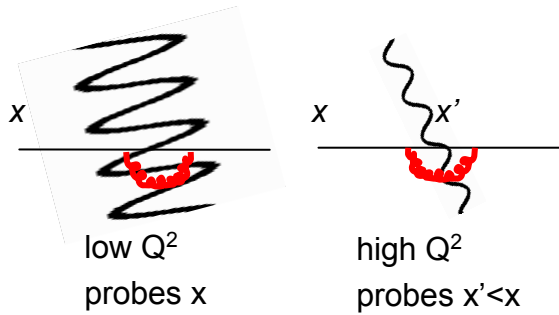
## DGLAP equations

# Scaling violations

$Q^2$  evolution of structure functions

photon resolution improves with  $Q^2$

→ disentangles virtual gluon emission



As  $Q^2$  increases,

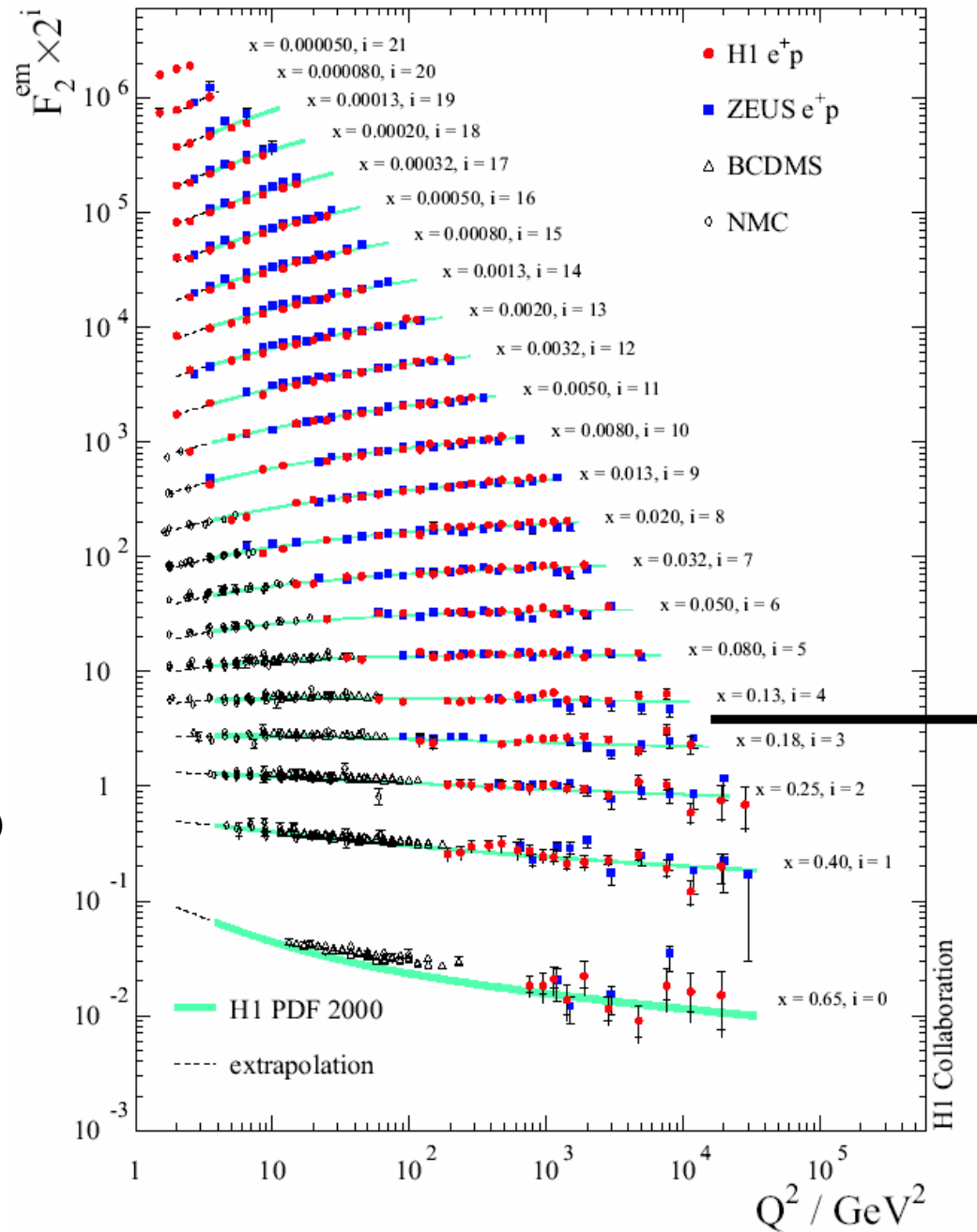
quark content decreases at large  $x$  (valence)

and increases at low  $x$

also : at low  $x$ , the gluon content and the sea increase

(low  $x$  since due to bremsstrahlung → soft)

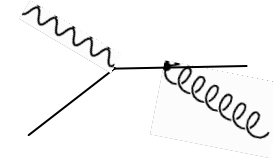
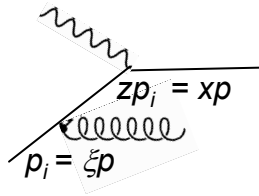
**parton distribution function evolutions**



# « structure of the quark »

Glueon emission by the quark :

a quark « structure » shows up



- NB
1. we consider *hard* gluon emission, over timescale comparable to interaction time  $\rightarrow$  large  $p_T$ , well separated jets  $\leftrightarrow$  soft gluon emission during hadronisation (see later)
  2. « before » and « after » are frame dependent - the second diagram for gauge invariance

Take over the SF formalism, with **proton**  $\rightarrow$  **quark**

$$p$$

$$p_i = \xi p$$

$$x = Q^2/2p \cdot q$$

$$z = Q^2/2p_i \cdot q = x/\xi$$

$$\text{Hence } \frac{1}{x} F_2(x, Q^2) = 2F_1(x, Q^2) = \frac{\sigma_T(x, Q^2)}{\sigma_0} \Big|_{\gamma^*} = \sum_i \int_0^1 dz \int_0^1 d\xi f_i(\xi) \delta(x - \xi z) \frac{\hat{\sigma}_T(z, Q^2)}{\hat{\sigma}_0} \Big|_{\gamma^* \text{quark}}$$

$$\text{where } \sigma_0 = \frac{4\pi \alpha_S^2(Q^2)}{s} \text{ and similarly for } \hat{\sigma}_0 \text{ with } \hat{s} = \xi s$$

$f_i(\xi)$  is the probability to find in the proton a (« primary ») quark with momentum fraction  $\xi$ ,

$\hat{\sigma}_T(z, Q^2)$  is the photon-quark transverse cross section, for a (« secondary ») quark of momentum fraction  $z$ ;

$\xi$  and  $z$  can vary from 0 to 1, but  $x = \xi z$  is fixed (hence the  $\delta$  function)


After integration on  $z$  :

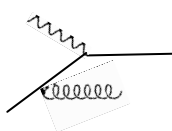
$$2F_1(x, Q^2) = \sum_i \int_0^1 \frac{d\xi}{\xi} f_i(\xi) \frac{\hat{\sigma}_T(x/\xi, Q^2)}{\hat{\sigma}_0}$$

# quark evolution equation

At first order :  $\gamma^* q \rightarrow q$   where  $z = x / \xi = 1$

$$\text{Hence } 2F_1(x, Q^2) = \sum_i \int_0^1 \frac{d\xi}{\xi} f_i(\xi) \frac{\hat{\sigma}_T(x/\xi, Q^2)}{\hat{\sigma}_0} \rightarrow \sum_i e_i^2 \int_0^1 \frac{d\xi}{\xi} f_i(\xi) \delta(1 - \frac{x}{\xi}) = \sum_i e_i^2 f_i(x)$$

At next order, the photon quark cross section contains a  $\gamma^* q \rightarrow q g$  contribution  (and others)

with for   $\frac{d\hat{\sigma}}{dp_T^2} \approx e_q^2 \hat{\sigma}_0 \frac{1}{p_T^2} \frac{\alpha_s(Q^2)}{2\pi} P_{qq}(z)$  where  $P_{qq}(z) = \frac{4}{3} \left( \frac{1+z^2}{1-z} \right)$

$P_{qq}(z)$  is the probability of a quark emitting a gluon and reducing its momentum by the factor  $z$  : « splitting function »

Thus  $\hat{\sigma}(\gamma^* q \rightarrow qg) = \int_{\mu_F^2}^{s^2/4} dp_T^2 \frac{d\hat{\sigma}}{dp_T^2} \approx e_q^2 \hat{\sigma}_0 \frac{\alpha_s(Q^2)}{2\pi} P_{qq}(z) \log \frac{Q^2}{\mu_F^2}$   $\mu_F =$  cut off for  $p_T \rightarrow 0$  (see below)

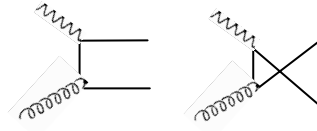
and  $\frac{1}{x} F_2(x, Q^2) = \sum_q e_q^2 \int_x^1 \frac{d\xi}{\xi} q(\xi) \left( \delta(1 - \frac{x}{\xi}) + \frac{\alpha_s(Q^2)}{2\pi} P_{qq}(\frac{x}{\xi}) \log \frac{Q^2}{\mu_F^2} \right)$  **logarithmic scaling violation**  
 $= \sum_q e_q^2 [q(x) + \Delta q(x, Q^2)]$  **log. dependence formally absorbed in quark density redefinition**

Hence integro-differential **evolution equation** for quark distribution :

$$\frac{dq(x, Q^2)}{d \log Q^2} = \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{d\xi}{\xi} q(\xi, Q^2) P_{qq}\left(\frac{x}{\xi}\right)$$

# DGLAP equations

Similarly : quark in gluon  $P_{qg}$



gluon in gluon  $P_{gg}$



Notation  $P_{ij} \otimes f_i(x, Q^2) = \int_x^1 \frac{d\xi}{\xi} P_{ij}\left(\frac{x}{\xi}\right) f_i(\xi, Q^2)$

$$\frac{dq(x, Q^2)}{d \log Q^2} = \frac{\alpha_s(Q^2)}{2\pi} \left[ P_{qq} \otimes q(x, Q^2) + P_{qg} \otimes g(x, Q^2) \right]$$

$$\frac{dg(x, Q^2)}{d \log Q^2} = \frac{\alpha_s(Q^2)}{2\pi} \left[ P_{gq} \otimes q(x, Q^2) + P_{gg} \otimes g(x, Q^2) \right]$$

# Remarks

## 1. DGLAP equations = Renormalisation group equations (RGE)

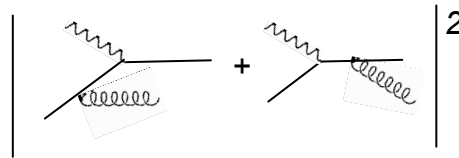
$$q(x, Q^2; \mu_F^2) = q(x) + \frac{\alpha_s(Q^2)}{2\pi} \log \frac{Q^2}{\mu_F^2} \int_x^1 \frac{d\xi}{\xi} P_{qq}\left(\frac{x}{\xi}\right) q(\xi)$$

Choice of factorisation scale  $\mu_F$  is arbitrary  $\rightarrow q(x, Q^2)$  should not depend on  $\mu_F$ :

$$\frac{dq(x, Q^2; \mu_F^2)}{d \log \mu_F} = 0 \rightarrow \text{the DGLAP equations}$$

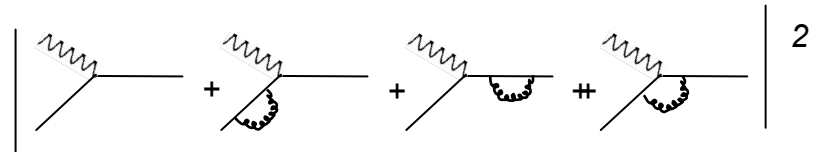
## 2. Singularities in splitting functions

Remember  $P_{qq}$  comes from



and is singular  $P_{qq}(z) = \frac{4}{3} \left( \frac{1+z^2}{1-z} \right)$

But interference of virtual corrections with leading order diagram **regularise** the singularity in  $P_{qq}$



## 3. Higher orders

NLO and NNLO splitting functions have been calculated. Very complicated !

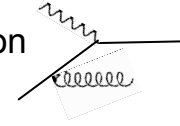
# 4. Factorisation theorems and parton density functions



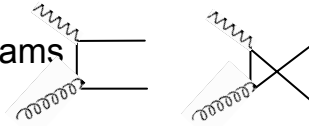
# Infrared singularities

Remember logarithmic singularity for quark structure, due to collinear gluon emission

$$\hat{\sigma}(\gamma^* q \rightarrow qq) = e_q^2 \hat{\sigma}_0 \frac{\alpha_s}{2\pi} P_{qq}(z) \log \frac{Q^2}{\mu_F^2} + \int_0^{\mu_F^2} dp_T^2 \frac{d\hat{\sigma}}{dp_T^2}$$



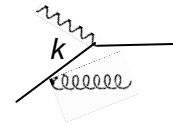
For gluon structure,  $\log(Q/m)$  singularity due to  $\gamma g$  fusion diagrams



In general, singularities coming from vanishing gluon mass

Generally speaking, **infrared singularities** due to **soft and collinear** configurations (**degenerate kinematic situations**)

correspond to **on mass shell** intermediate parton, with  $k^2 = m^2 \approx 0$



Correspond to **long distances**

light-cone coordinates :  $v^\pm = (v^0 \pm v^3) / \sqrt{2}$

$$k^2 = m^2 = 2k^+k^- - k_T^2 \quad (\approx 0 \text{ if parton on mass shell})$$

$$x \cdot k = x^-k^+ + x^+k^- - \vec{x}_T \cdot \vec{k}_T$$

$$k^+ = \frac{E + p_z}{\sqrt{2}} \approx \sqrt{s}/2 \quad \text{very large !} \quad k^- = \frac{k_T^2 + m^2}{2k^+} \approx \frac{k_T^2 + m^2}{\sqrt{s}} \quad \text{very small !}$$

$k \rightarrow x$  space by Fourier transform  $(\int d^4k e^{ik \cdot x} \dots)$  with  $x^+ \approx$  time

$$\rightarrow x^+ \sim \frac{\sqrt{s}}{k_T^2 + m^2} \quad \text{very large !} \quad x^- \sim \frac{1}{\sqrt{s}} \quad \text{very small !}$$

# QCD factorisation theorems

(to be demonstrated : DIS, jet production, Drell-Yan, prompt photon emission, fragmentation in  $e^+e^-$ ) :

Infrared (long distance) singularities (due to nearly on mass shell partons)

can be separated from hard (short distance) partonic process (with large off mass shellness)

i.e. infrared singularities can be « factorised out »

order by order in pQCD (or useless !)

into universal parton density functions (or fragmentation functions)

- which must be measured (cannot be calculated !)
- at some factorisation scale  $\mu_F$
- of which the evolution from  $\mu_F$  can be calculated using the  $P_{ij}$  coefficient kernels  
(DGLAP and in general RGE equations)

Very much like charge and mass are redefined to dispose of familiar *UV* singularities due to loop corrections

$$\text{physical charge} = \text{bare charge} + \text{bare charge screened} + \dots$$

« *renormalisation* » is factorisation of *UV* divergences

« *factorisation* » is renormalisation of soft / collinear divergences

# Master formula

$$\sigma^h(x, Q^2) = \sum_{i=q, \bar{q}, g} \int_0^1 \frac{d\xi}{\xi} \underbrace{C^i\left(\frac{x}{\xi}, \frac{Q^2}{\mu^2}, \frac{\mu_F^2}{\mu^2}, \alpha_S(\mu^2)\right)}_{\text{coefficient function}} \underbrace{\phi_{i/h}(\xi, \mu_F, \mu^2)}_{\text{pdf}}$$

$\mu$  renormalisation scale (fixes  $\alpha_S(\mu^2)$ )

$\mu_F$  factorisation scale

ones often takes  $\mu_F = \mu$  - can be  $Q^2$  or  $E_T$  (jet) etc.

NB complicated cases where 2 scales (e.g.  $Q^2$  and jet  $E_T$ ; also when large  $\log 1/x$ )

- the factorisation scale  $\mu_F$  can be seen as where hard and soft processes separate, i.e. **maximum off-shellness** of partons grouped into pdf  $\phi_{i/h}$
- as  $\mu$  is present in both coeff. fct. and in pdf's, a « **factorisation scheme** » (*MS-bar*, *DIS*) must define (for higher orders) the attribution of the short distance finite contributions (i.e. to coeff. fct. or to pdf's) (remember : pdf's are « theoretical » objects)

# Parton distribution functions

$$\sigma^h(x, Q^2) = \sum_{i=q, \bar{q}, g} \int_0^1 \frac{d\xi}{\xi} C^i\left(\frac{x}{\xi}, \frac{Q^2}{\mu^2}, \frac{\mu_F^2}{\mu^2}, \alpha_S(\mu^2)\right) \phi_{i/h}(\xi, \mu_F, \mu^2)$$

- ❑ **coeff. functions** are QCD calculable as power series in  $\alpha_s$ ,
    - infrared safe
    - process dependent (NC DIS, CC DIS, jet, etc.)
    - independent of initial hadron  $h$
  - ❑ **pdf's** are specific to  $h$ 
    - but process independent (including independent of  $Q^2$ )
  - ❑ pdf **evolution kernels** (e.g. DGLAP) are QCD calculable as power series in  $\alpha_s$ 
    - infrared safe
- 
- compute the process ( $e^+ e^-$ , DIS, ...) cross section **at parton level**, at a given order of perturbation theory
  - compute the « **parton structures** »  $\phi_{i/q} \phi_{i/g}$  at the **same** order (in a given factorisation scheme)
  - thus derive the **coefficient functions**  $C^i$  (at **same** order, in the **same** scheme) (see fig. NNLO !)
  - combine the  $C^i$  with the experimental cross section  $\sigma^h$  to derive the non perturbative parton distributions in the hadron  $\phi_{i/h}$  (at same order, in the chosen scheme) (i.e. **inverse master formula**)
  - use the **evolution kernels** to extract the pdf's for a given  $\mu$  factorisation scale value

# 5. Parton distribution parameterisations

# Parameterising pdf's

- Choose a **starting parameterisation** for the various parton species (quarks, antiquarks, gluons)
  - at a given  $\mu$  **scale** (usually  $\mu_F = \mu$ )
  - in a given factorisation **scheme** (usually *MS-bar*)
    - with a number of parameters sufficiently **large** to describe the data
    - but sufficiently **small** to be really constraint by physics and not artefacts
- Decide upon **simplification hypotheses** to decrease number of degrees of freedom
  - isospin ( $u(x)$  in proton =  $d(x)$  in neutron;  $u$  sea in proton =  $d$  sea in neutron, but  $u$  sea in proton might be different form  $u$  sea in neutron)
  - x-distributions of quark and antiquark seas : have to be the same in total, but what about x dependences ?
  - $s(x)$  sea versus  $u(x)$ ,  $d(x)$  seas
- Choose **experimental data**
  - theoretically relevant (be sure factorisation applies !)
  - theoretically under control – e.g.
    - higher order effects (NLO / LO ; NNLO / NLO)
    - treatment of nuclear effects (in extracting neutron pdf's from  $eA$  and  $\mu A$  scattering)
  - experimentally reliable
    - (e.g. phase space extrapolations for HERA charmed meson production)
- ... **and fit**
  - (for errors – see below !)

# Main parameterisations

## □ MRST

starting scale :  $\mu^2 = Q_0^2 = 2 \text{ GeV}^2$

$$u \text{ quark} \quad xu(x, Q_0^2) = A_u (1-x)^{\eta_u} (1 + \varepsilon_u \sqrt{x} + \gamma_u x) x^{\delta_u}$$

$$d \text{ quark} \quad xd(x, Q_0^2) = A_d (1-x)^{\eta_d} (1 + \varepsilon_d \sqrt{x} + \gamma_d x) x^{\delta_d}$$

$$sea \quad xS(x, Q_0^2) = A_s (1-x)^{\eta_s} (1 + \varepsilon_s \sqrt{x} + \gamma_s x) x^{\delta_s}$$

$$\Delta q = \bar{u} - \bar{d} \quad x\Delta(x, Q_0^2) = A_\Delta (1-x)^{\eta_\Delta} (1 + \gamma_\Delta x + \delta_\Delta x^2) x^{\delta_\Delta}$$

$$gluons \quad xg(x, Q_0^2) = A_g (1-x)^{\eta_g} (1 + \varepsilon_g \sqrt{x} + \gamma_g x) x^{\delta_g} \quad \left[ -A_- (1-x)^{\eta_-} x^{-\delta_-} \right]$$

$$strange \text{ sea} \quad \kappa = \frac{s(x)}{\bar{u}(x) + \bar{d}(x)} \simeq 0.4$$

$$sea \text{ asymm.} \quad \Delta s(x) = s(x) - \bar{s}(x)$$

$$\square \text{ CTEQ} \quad (1 + \varepsilon_j \sqrt{x} + \gamma_j x) \rightarrow (1 + \gamma_j x^{\varepsilon_j})$$

$$\square \text{ DIS (H1, ZEUS)}$$

around 20 free parameters (or even more) for some 2000 data points

( $A_u$  and  $A_d$  fixed by valence quark counting,  $A_g$  fixed by momentum sum rule)

Parameterisations differ in detailed form of parameterisation at starting scale, data sets included, factorisation / renormalisation scale  $Q_0^2$  and scheme, value of  $\alpha_s(Q_0^2)$ , assumptions on  $\kappa$ , sea asymmetry, possible negative gluon

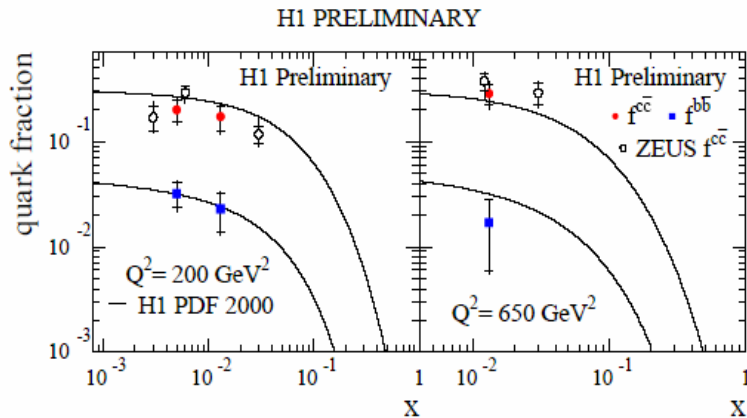
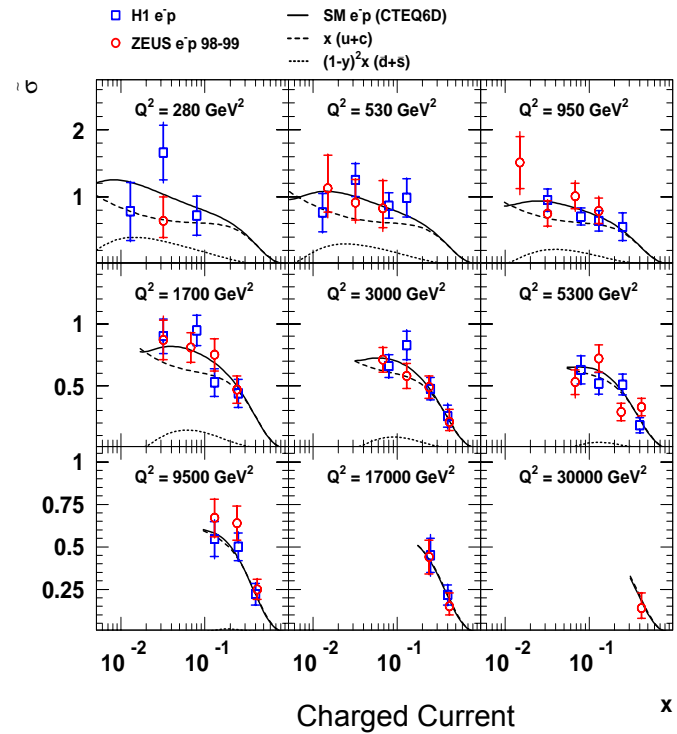
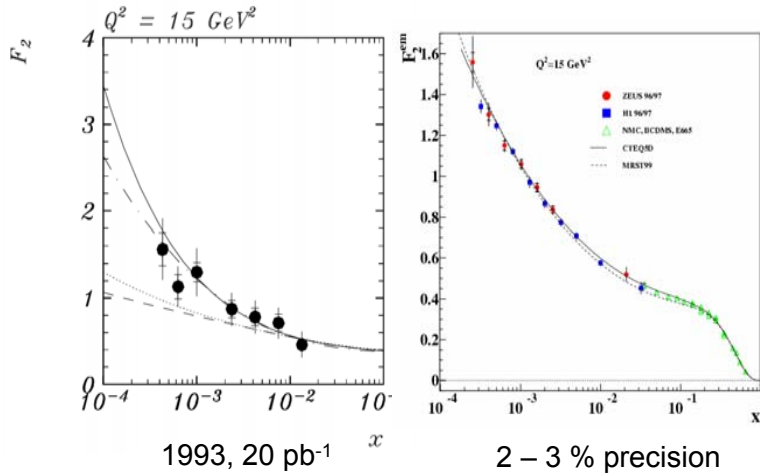
# Data sets

**DIS (1)** fixed target  $\mu p, \mu n$  BCDMS, NMC, SLAC, E665  $x > 10^{-2}$   
 $e^+ p, e^- p$  (NC and CC) H1, ZEUS  $x > 10^{-5}$  quarks, gluons (through evolution)  
 $e^+ p, e^- p$  CC  $\rightarrow u/d$  at large  $x$  (without nuclear target)

problems)

$$F_{CC}^2 \quad F_{bb}^2$$

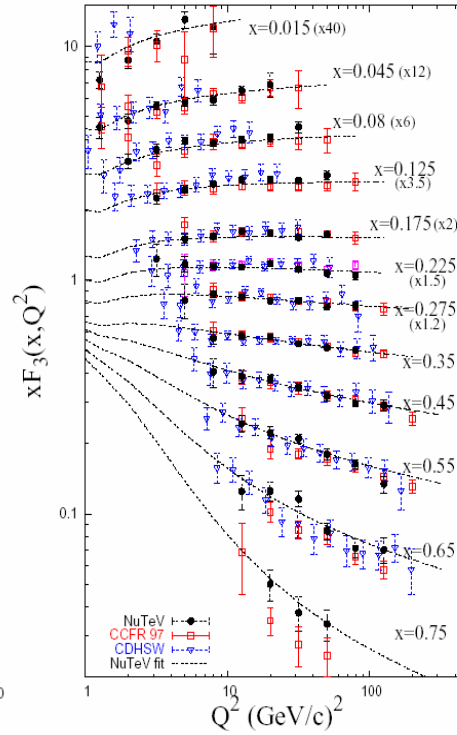
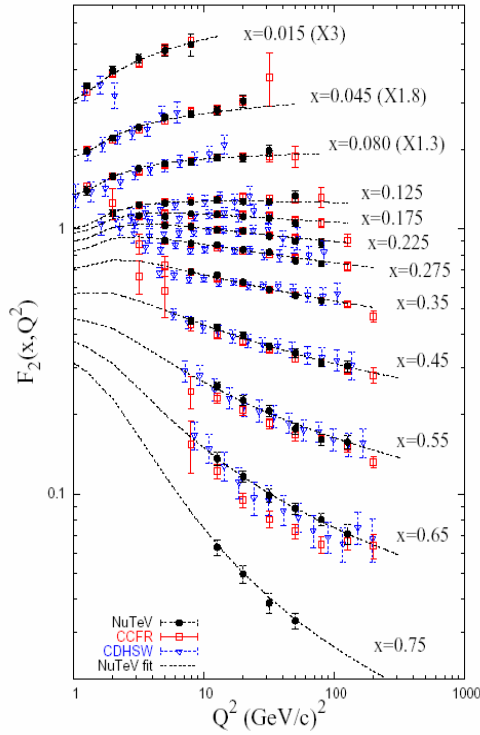
$\rightarrow$  direct access to gluons (photon gluon





## Data sets (2)

**DIS (2)**     $\nu p$     $\nu n$     $\bar{\nu} p$     $\bar{\nu} n$     CCFR     $x > 10^{-2}$  : total quarks, valence  
NuTeV    + strange sea (dimuon events from CC charm prod.)



# Data sets (3)

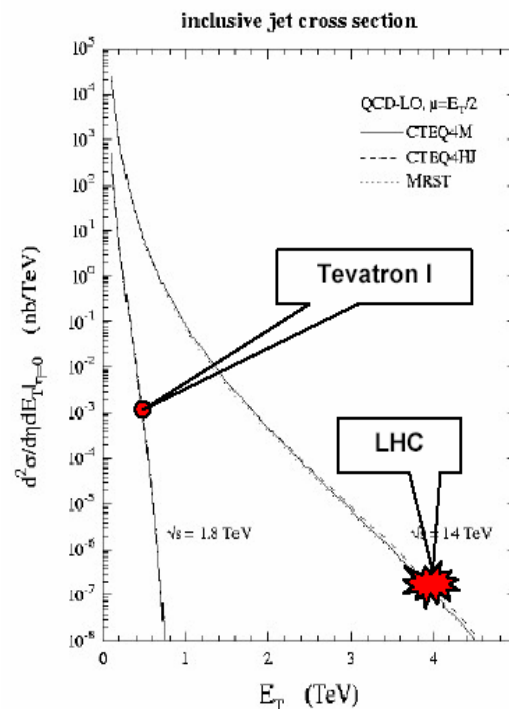
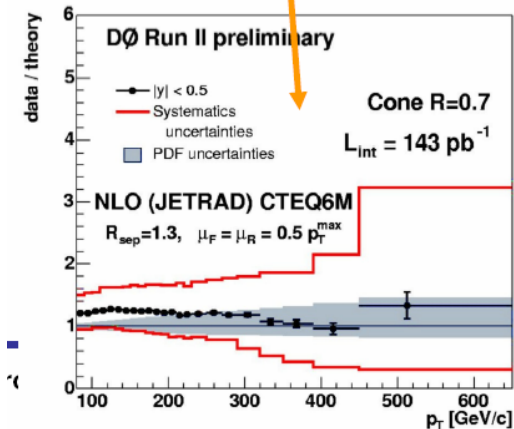
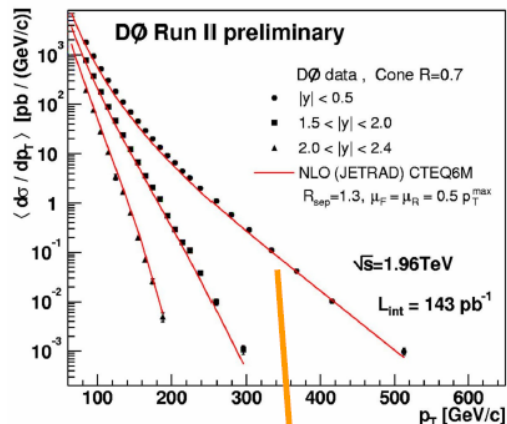
## Jets

Tevatron collider

CDF, D0 → constraints on high x gluon

Jets in DIS at HERA ZEUS

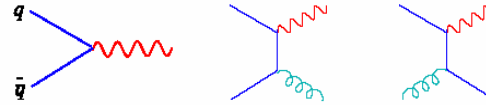
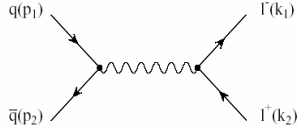
Sample of LO diagrams:



## Data sets (4)

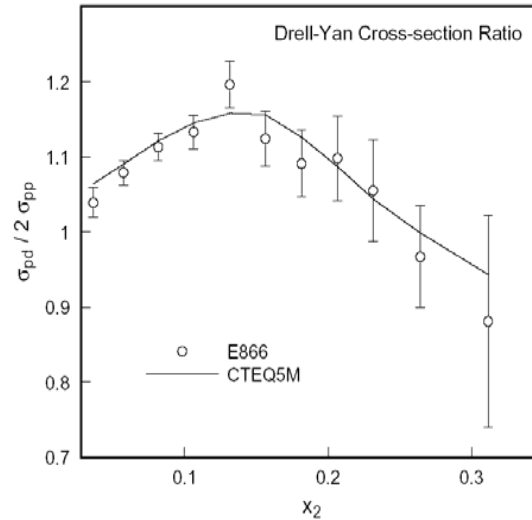
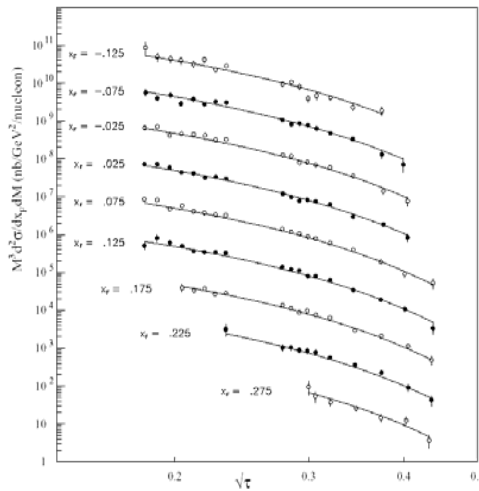
**Drell-Yan** (muon pair production) : Fermilab,  $p$  and  $n$

$\rightarrow$ ,  $d$  valence;  $\bar{u}$ ,  $\bar{d}$



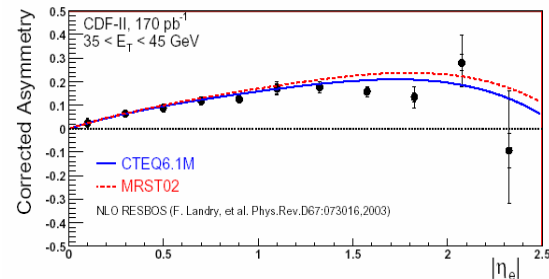
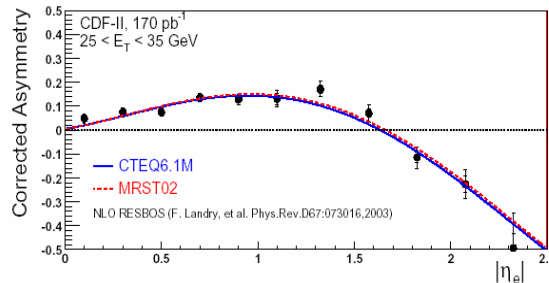
Large  $K$ -factor (= NLO / LO)  $\rightarrow$  convergence ? factorisation true ? now understood :  $\alpha(\mu\mu)$  not small

E605 ( $p \text{ Cu} \rightarrow \mu^+ \mu^- X$ )  $P_{LAB} = 800 \text{ GeV}$



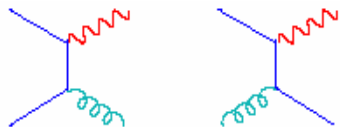
**$W$  asymmetry** (CDF)

$u/d$  ratio at high  $x$



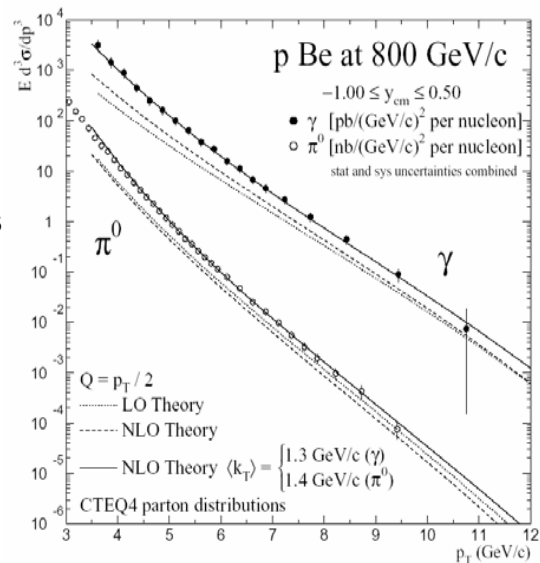
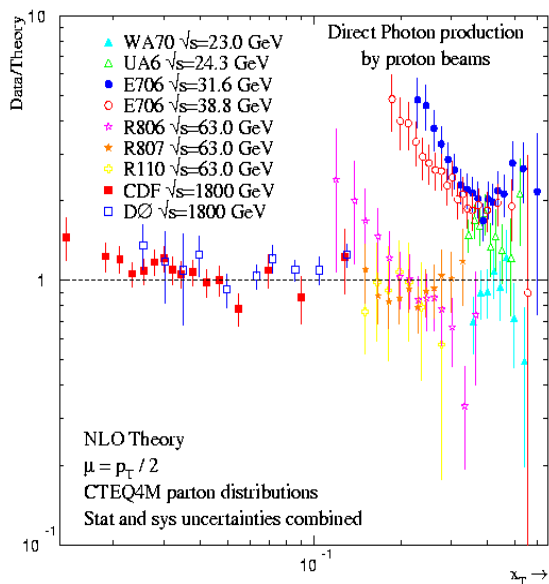
## Data sets (5)

### Prompt photon production

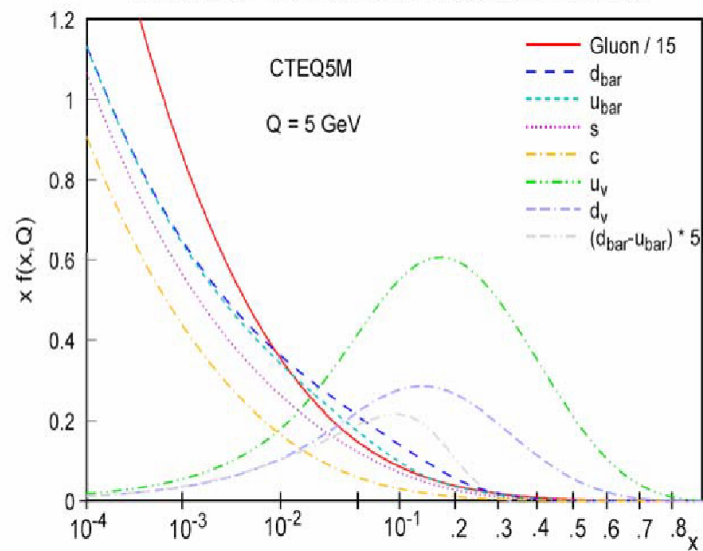
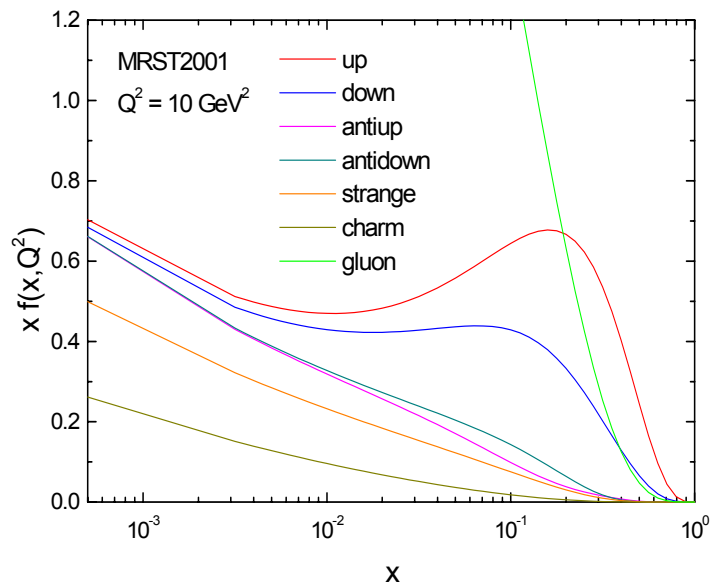
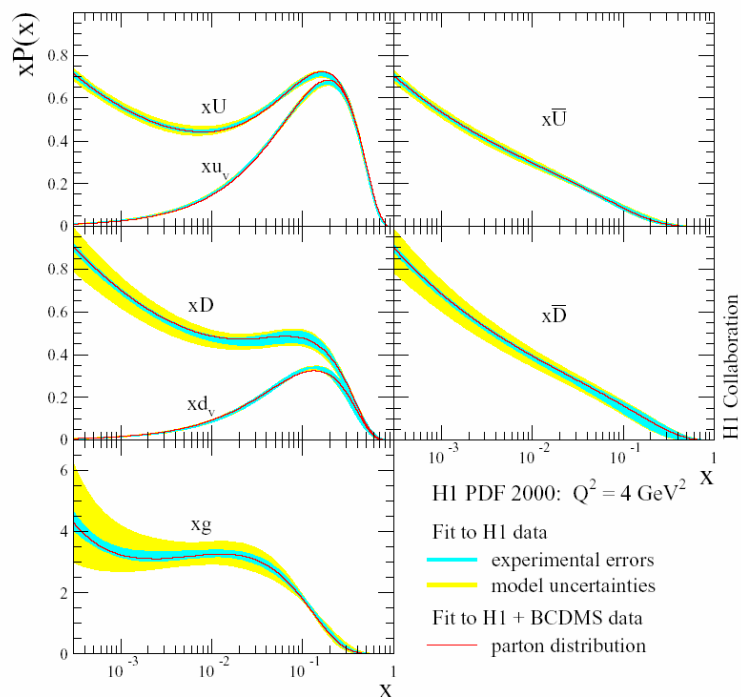


Sensitive to primordial  $k_T$  of quarks inside nucleon (i.e. higher orders

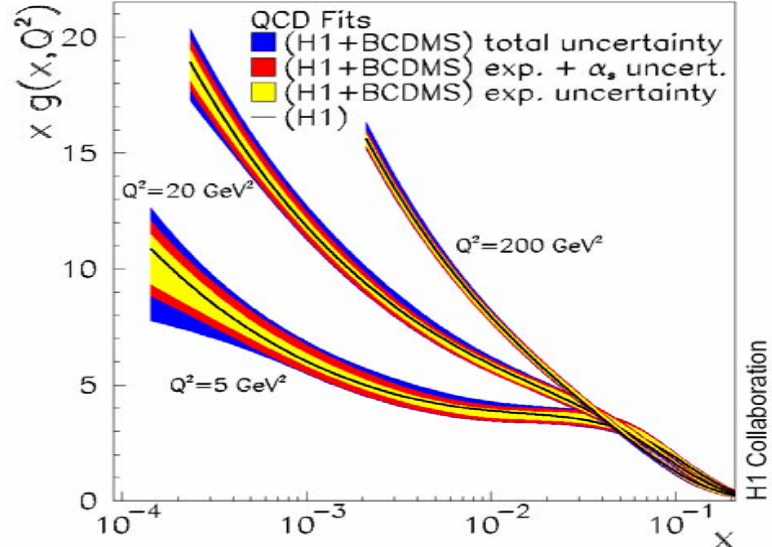
MRST do not use these data



# Results...

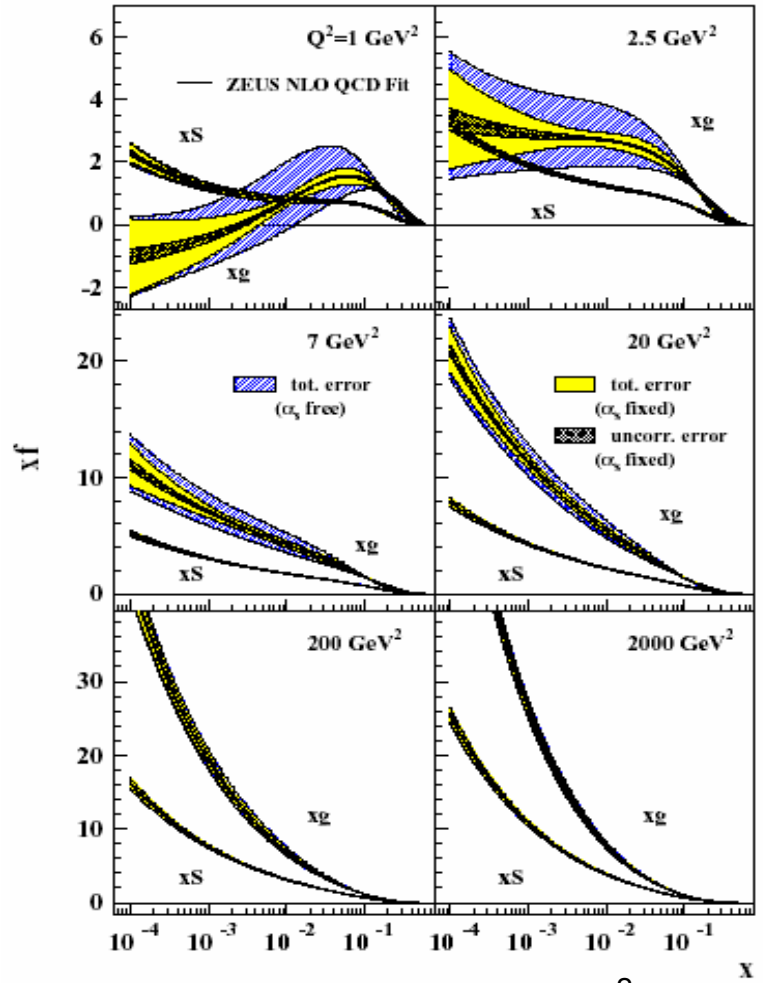


# The gluon at low x (HERA)



Fast increase of  $F_2$  at small  $x \rightarrow$  fast increase of number of gluons and sea quarks  
 $\rightarrow$  « saturation », recombination effects ?  
 $\rightarrow$  DGLAP not applicable  
 - BFKL evolution  
 - non-linear evolution

(remember small  $x$  is large  $\gamma^*p$  energy :  $W^2 = Q^2 / x$  )



$Q^2 \approx 1 \text{ GeV}^2$   
 and maybe even  $< 0$ . Problem with observables ?  
 Indication of higher order effects ? (non-DGLAP)

# 6. Parton distribution uncertainties

# Experimental uncertainties

- ❑ selection of data  
choice of accepted  $Q^2$ ,  $W$  domain
- ❑ effect of experimental errors ?  
correlated / uncorrelated systematics
- ❑ how to combine « poorly compatible » experiments ?

## ➤ Hessian estimate of errors (correlation matrix)

deviation in  $\chi^2$  of the global fit from the minimum  $\chi^2$  value is assumed to be quadratic in the deviation of the fitted parameters errors from their best value  $\rightarrow$  errors obtained from the covariance matrix, with  $\Delta\chi^2 = 1$

BUT - hypothesis on the quadratic behaviour of uncertainties : (very) questionable  
- (there may exist) strong correlations between parameters (if larger number than necessary)  
- inconsistencies between experiments

$\rightarrow$  which tolerance to define errors on pdf's ?  $\Delta\chi^2 = 100$  (CTEQ), 50 (MRST), 1 (H1 – only DIS) ?

## ➤ Lagrange multipliers : a series of global fits using Lagrange parameters attached to each given measurement, constraining the measured cross sections by the quoted errors $\rightarrow$ how does the global description deteriorates as one moves away from the unconstrained best fit – while spanning a range of Lagrange multipliers

But very heavy procedure



## *Theoretical uncertainties*

- ❑ higher QCD orders – in DIS : NNLO
- ❑  $\log(1/x)$  and  $\log(1-x)$  effects
- ❑ absorptive corrections – parton recombinations
- ❑ other higher twist contributions
- ❑ form of the parameterisation at starting scale
- ❑ number of parameters ?
- ❑ ... and relevance of the chosen factorisation scheme for the chosen parameterisation form
- ❑ choice of starting scale of evolution
- ❑ choice of  $\alpha_S$
- ❑ simplification assumptions
  - isospin violation
  - $s \neq \bar{s}$
- ❑ treatment of heavy flavours
- ❑ nuclear effects
- ❑ inclusion of e-w corrections (significant at NNLO)
- ❑ ...

### *Remark : pdf's in Monte Carlos*

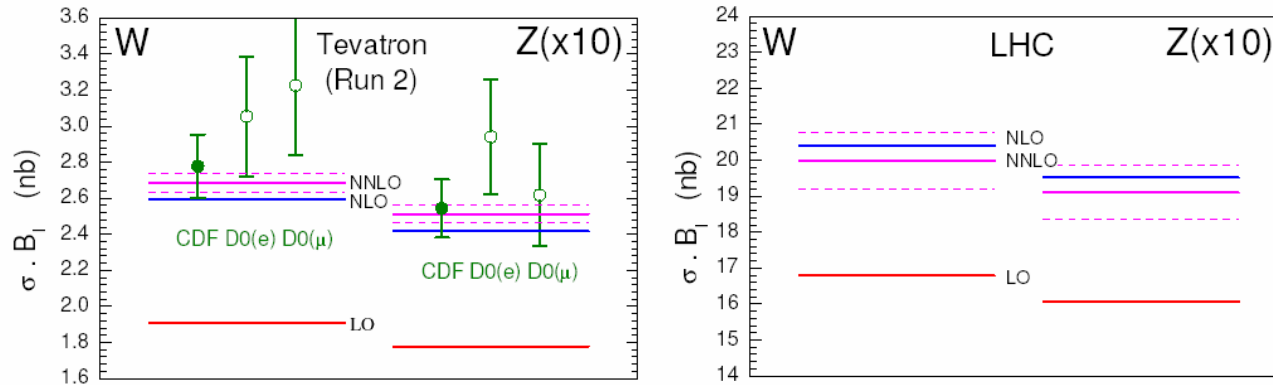
Present Monte Carlos are generally LO + simulation of higher orders through parton shower (JETSET)

JETSET follows DGLAP evolution – HERWIG is believed to be closer to BFKL evolution

# Higher orders

All order summation is finite (factorisation theorem) *but* how fast is the convergence ?

- trust convergence if corrections decrease when computing next order



- **sensitivity to scale** = indication of size of next order contribution

$$\mu \frac{d}{d\mu} C^{(n)}(x, Q^2, \mu) \sim O(\alpha_s^{n+1})$$

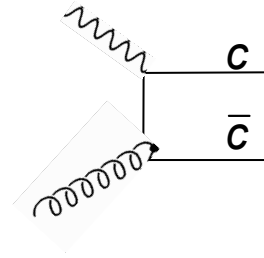
small scale sensitivity at NL for DIS and D-Y

large for heavy quarks and prompt photon

# Heavy quarks

No HQ in the nucleon at small scale

- **dynamically generated** (photon gluon fusion)



Works at not too large  $Q^2$  but logarithmic divergence at large  $Q^2 \approx \log \frac{Q}{m_q}$

- at large  $Q^2$ , treated as **massless quarks**

→ **Fixed / variable flavour number scheme**

# Jets

full NNLO calculations not available yet

→ estimated through scale dependence :

$\mu$  often varied from  $0.5 E_T$  to  $2 E_T$

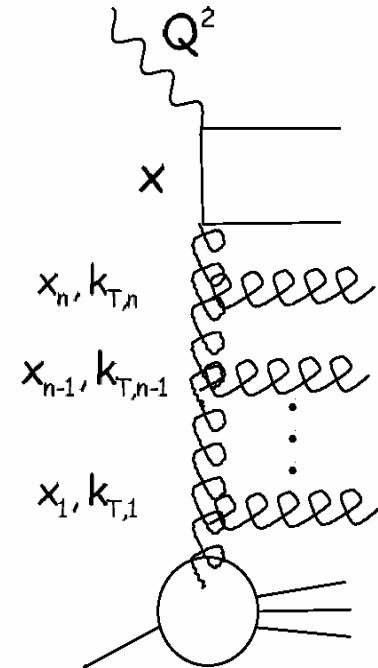
# Resummations

- Fixed order calculations  $\leftrightarrow$  resummation of all order contributions : *leading logarithms*

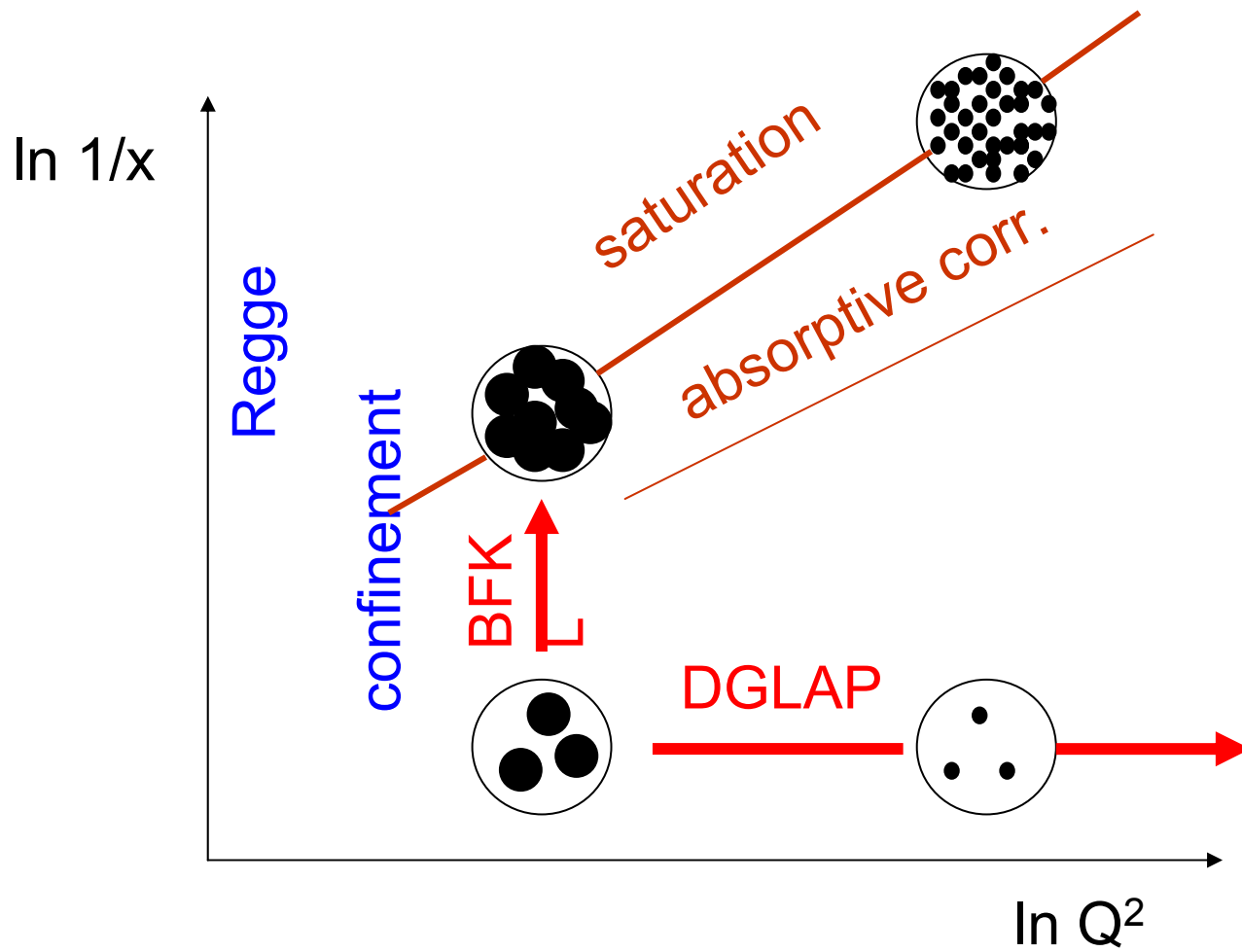
Necessary when 2 scales, e.g.  $Q^2$  and jet  $E_T$

! double counting !

- **DGLAP** evolution : hard scale given by  $Q^2$   
resums  $\alpha_S^n \log^n Q^2$  terms (+ NLO etc.),  
corresponds to strong ordering in  $k_T$  of (virtual) partons
- **BFKL** evolution : in DIS domain (sufficiently large  $Q^2$ ), very high energy  
resums  $\alpha_S^n \log^n \frac{1}{x}$  terms  
corresponds to strong parton ordering in  $x$  (long. momentum)  
but not necessarily in  $k_T$   
Predicts fast increase
- **CCFM** evolution : connexion between DGLAP and BFKL  
angular ordering :  $\theta = \frac{k_T}{xp}$



At very high parton density : *saturation – parton recombination - non linear evolutions*

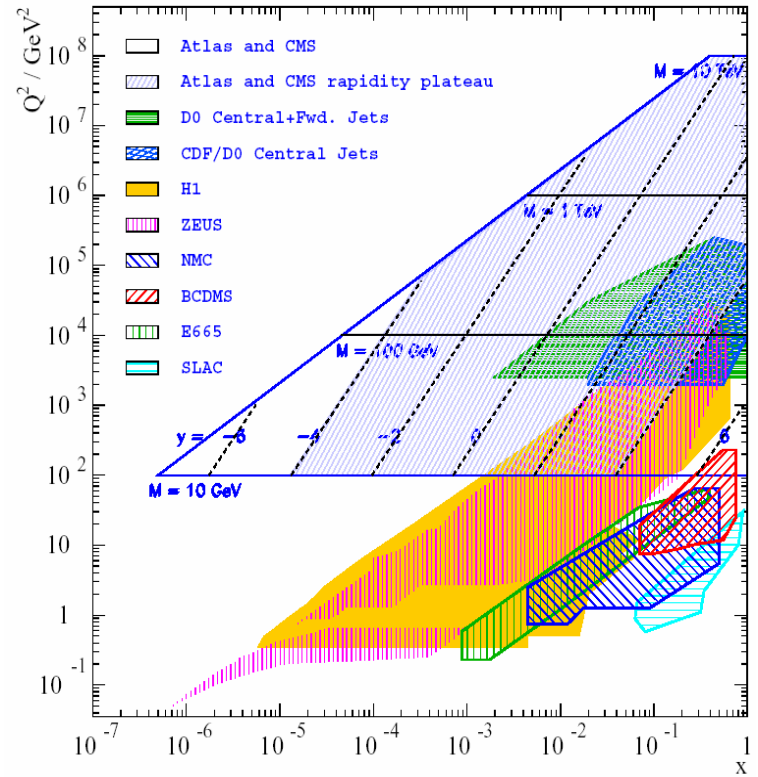


# At the LHC...

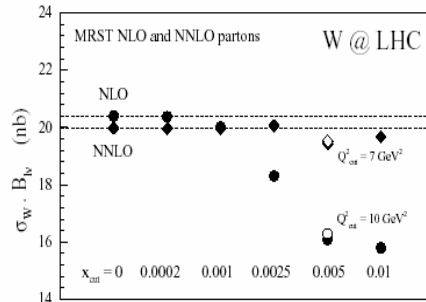
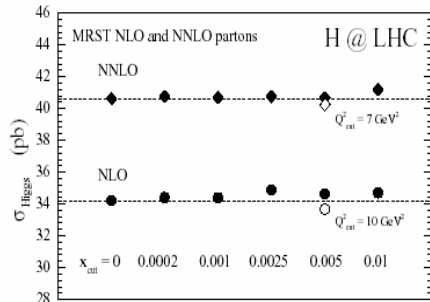
Precision predictions for SM processes are essential for discoveries :

e.g.  $pp \rightarrow t\bar{t}b\bar{b} \leftrightarrow pp \rightarrow t\bar{t}H \quad H \rightarrow b\bar{b}$

Experimentally : 2 orders of magnitude larger kinematic domain



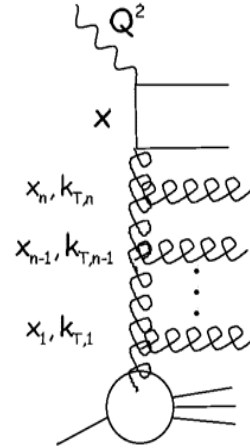
Importance of settling theoretical uncertainties !



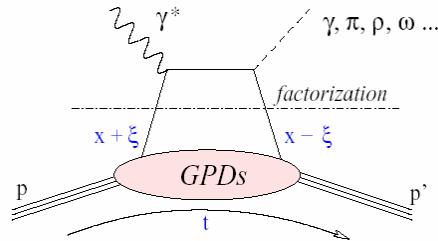
# 7. (Some of many) uncovered topics

# Other parton distributions

- **unintegrated  $k_T$  distributions**  
 relevant at very high energy, and when no strong  $k_T$  ordering  
 (BFKL domain)  
 e.g. large  $k_T$  jet or particle at large  $x$



- **generalised parton distributions**  
 correlations between partons



vector meson and real photon production (DVCS)  
 most relevant for large mass difference between initial and final state

- **spin parton distributions**  
 dedicated experiments (HERMES, COMPAS, etc.)



# Other hadrons or hadronic objects

## □ photon

$\gamma\gamma$  scattering at LEP, hard photoproduction at HERA

i.e. measurement of the hadronic structure of the photon

(« resolved » photon  $\leftrightarrow$  « direct » photon = pointlike)

$\gamma \rightarrow q\bar{q}$  + evolution, including gluon content of the photon

NB in DGLAP evolution, inhomogeneous component (cf. NS SF)

## □ pion

Drell-Yan, leading neutron final states at HERA (interactions on the pion virtual cloud around the proton)

## □ pomeron : hadronic structure of diffractive exchange

HERA (total diffractive production, vector mesons, charm, jets, etc.

Tevatron (diffractive jet and W production)

LHC : diffractive Higgs production

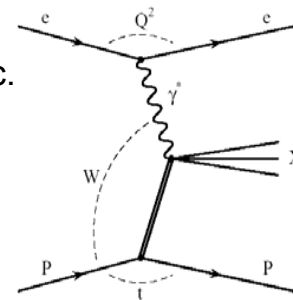
Factorisation theorem proved

**but** strong higher twist contributions

+ effects on evolution equations

+ underlying interaction  $\rightarrow$  breaks simple application of pdf transportation from HERA to Tevatron

(« **survival probability** »)



## Some references

- Introduction on DIS, SF, etc.  
F. Halzen, A.D. Martin, *Quarks and Leptons*, Wiley
- Introduction to pdf's and QCD  
CTEQ site <http://www.phys.psu.edu/~cteq/> in particular  
QCD Handbook <http://www.phys.psu.edu/~cteq/#Handbook>  
W.K. Tung, Perturbative QCD and the parton structure of the nucleon  
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