

# MECA H 303:

## Kinematics and dynamics of machines

Partim: Dynamics and vibrations

6. Rotor dynamics

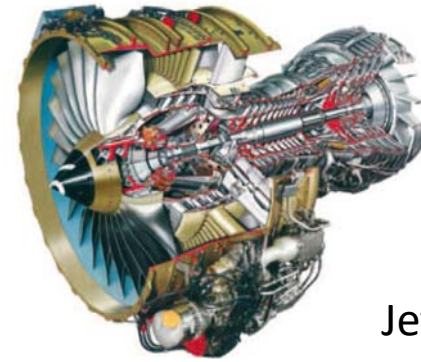
Prof. Arnaud Deraemaeker  
Email: [aderaema@ulb.ac.be](mailto:aderaema@ulb.ac.be)  
Office: BATir, C.3.220

[Slides : Prof Christophe Collette]

# Introduction



Figure 1 - Photograph of Refrigeration Compressor Rotor



Jet engines



Machine tools



Electricity power plant

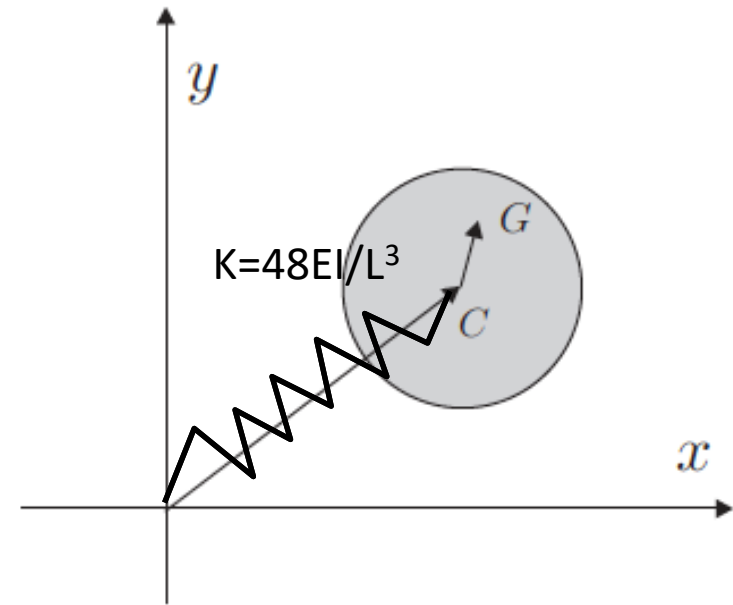
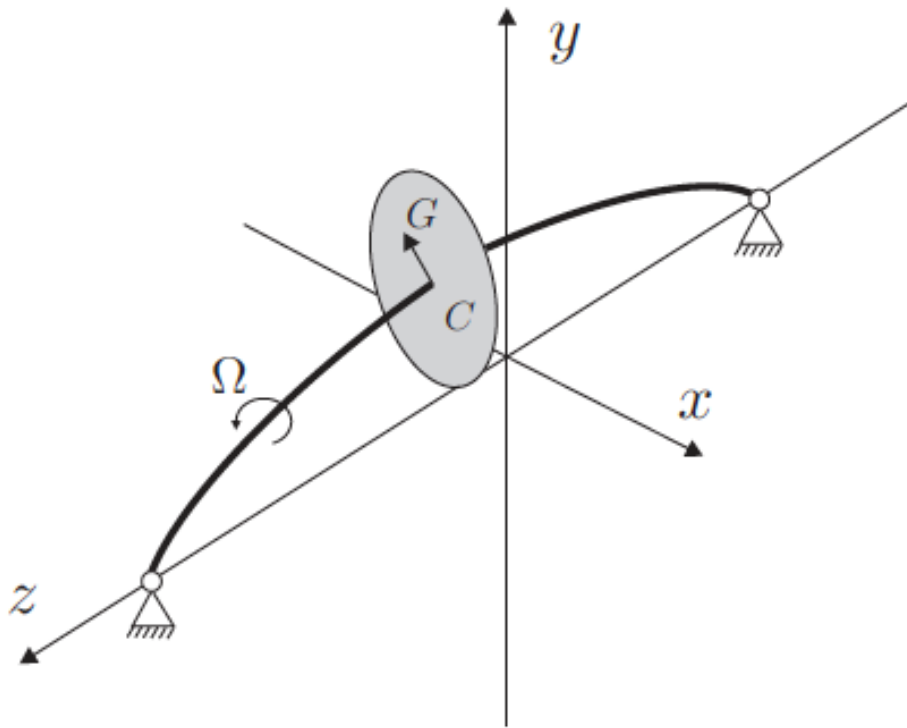
# Outline

- Jeffcott model without damping
  - Free whirl
  - Unbalance response
- Jeffcott model with damping
  - Free response
  - Unbalance response
- Jeffcott model including gyroscopic effects

Reference: G. Genta, Dynamics of rotating systems (ch.2 & 3), Springer (2005)

# Jeffcott model without damping

(1919)



$$\begin{cases} x_G = x_C + \varepsilon \cos(\Omega t) \\ y_G = y_C + \varepsilon \sin(\Omega t) \end{cases}$$

$$\begin{cases} m\ddot{x}_C + kx_C = m\varepsilon\Omega^2 \cos \Omega t \\ m\ddot{y}_C + ky_C = m\varepsilon\Omega^2 \sin \Omega t \end{cases}$$

1. Free whirling (general solution)

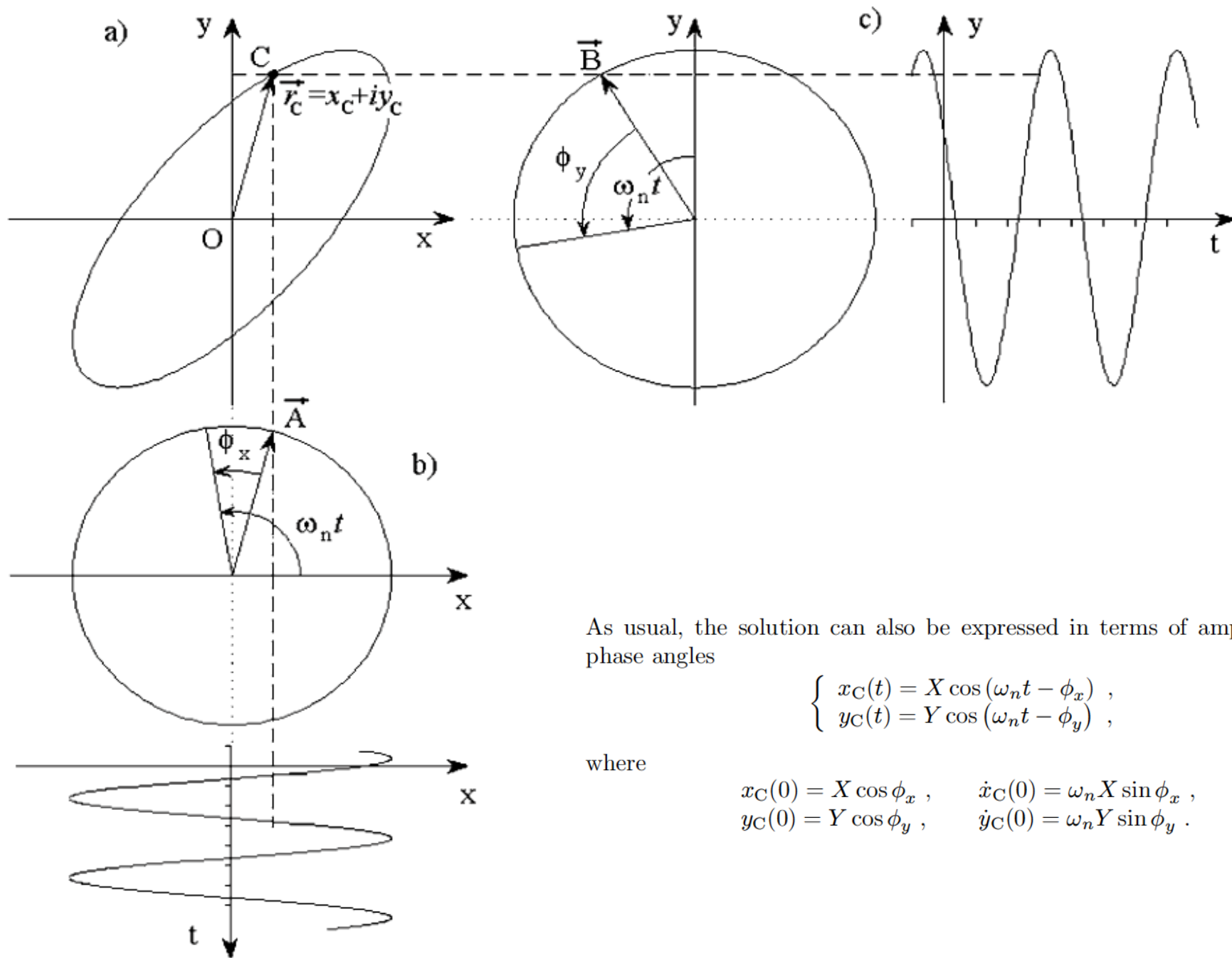
$$\begin{cases} m\ddot{x}_C + kx_C = 0 \\ m\ddot{y}_C + ky_C = 0 \end{cases}$$

$$\begin{cases} x_C = X_0 e^{st} \\ y_C = Y_0 e^{st} \end{cases}$$

$$\begin{cases} (ms^2 + k)X_0 e^{st} = 0 \\ (ms^2 + k)Y_0 e^{st} = 0 \end{cases}$$

$$\begin{cases} x_C(t) = X_1 e^{i\omega_0 t} + X_2 e^{-i\omega_0 t} \\ y_C(t) = Y_1 e^{i\omega_0 t} + Y_2 e^{-i\omega_0 t} \end{cases}$$

$$\begin{cases} x_C(t) = x_C(0) \cos(\omega_0 t) + \frac{1}{\omega_0} \dot{x}_C(0) \sin(\omega_0 t) \\ y_C(t) = y_C(0) \cos(\omega_0 t) + \frac{1}{\omega_0} \dot{y}_C(0) \sin(\omega_0 t) \end{cases}$$



As usual, the solution can also be expressed in terms of amplitude and phase angles

$$\begin{cases} x_C(t) = X \cos(\omega_n t - \phi_x) , \\ y_C(t) = Y \cos(\omega_n t - \phi_y) , \end{cases} \quad (2.18)$$

where

$$\begin{cases} x_C(0) = X \cos \phi_x , & \dot{x}_C(0) = \omega_n X \sin \phi_x , \\ y_C(0) = Y \cos \phi_y , & \dot{y}_C(0) = \omega_n Y \sin \phi_y . \end{cases} \quad (2.19)$$

## 2. Unbalance response

$$\begin{cases} m\ddot{x}_C + kx_C = m\varepsilon\Omega^2 \cos \Omega t \\ m\ddot{y}_C + ky_C = m\varepsilon\Omega^2 \sin \Omega t \end{cases}$$

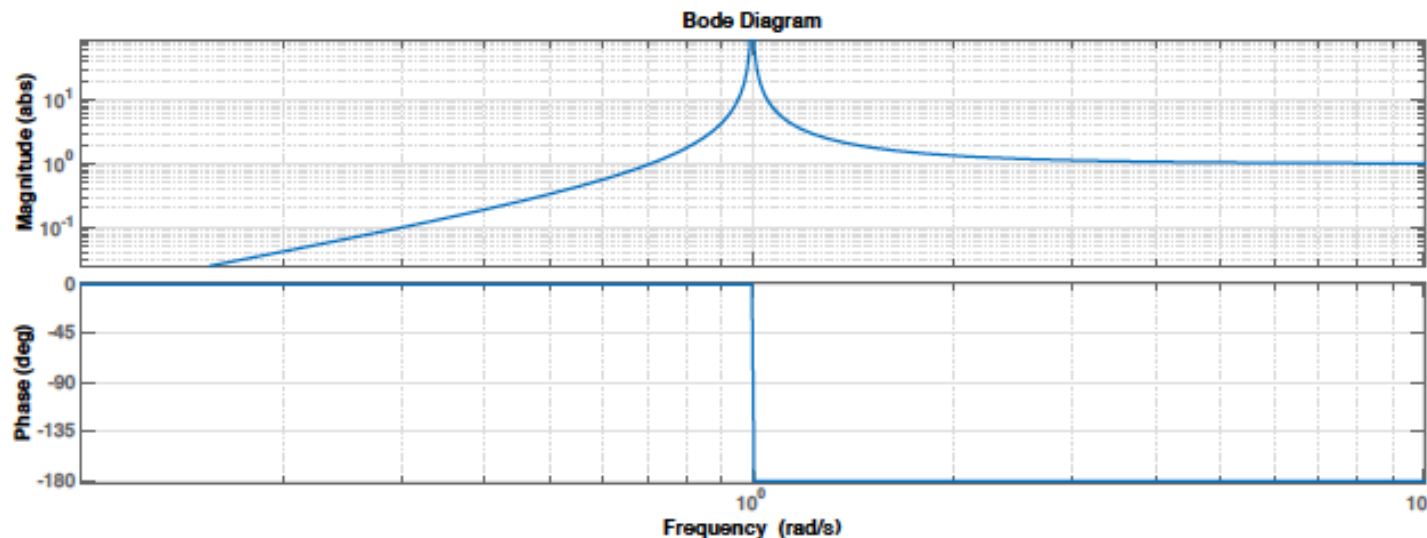
The solution is:

$$\mathbf{r}(t) = R_0(\cos \Omega t \mathbf{1}_x + \sin \Omega t \mathbf{1}_y)$$

$$\rightarrow (k - \Omega^2 m)R_0 = \varepsilon m \Omega^2$$

$$\frac{R_0}{\varepsilon} = \frac{\Omega^2 / \omega_0^2}{1 - \Omega^2 / \omega_0^2}$$

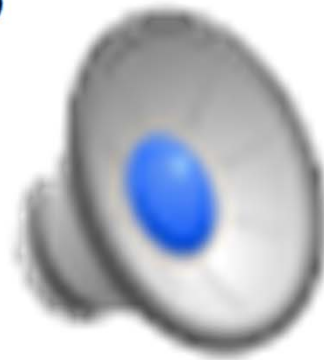
Speed is “critical” when it matches a resonance of the rotor



The critical speed corresponds to the resonance frequency of the shaft

# Estimation of the critical speed

- When both the mass and the static stiffness are known,  $\Omega_c = \sqrt{k/m}$
- Measure the free response to a hammer test.
- Measure the static deflection. If the mass of the rotor is known the measurement of the static deflection  $y_0$  allows to evaluate the stiffness equal to  $k = mg/y_0$
- Rotate the shaft at increasing speed, and directly measure the critical speed (which is of course dangerous)





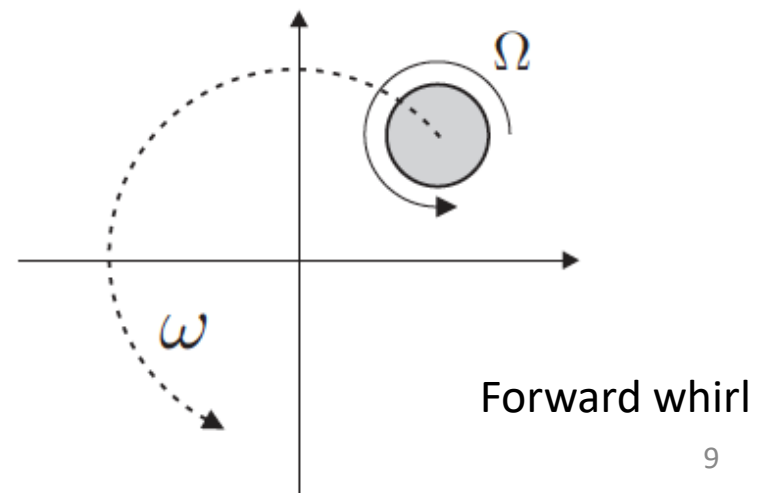
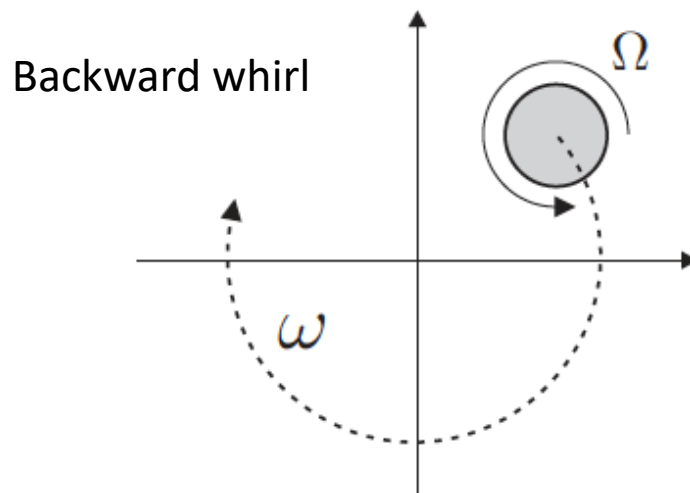
# Complex coordinates

$$r_C(t) = x_C(t) + iy_C(t)$$

$$mr_C'' + kr_C = m\varepsilon\Omega^2 e^{i\Omega t}$$

1. Free whirling:  $r_C(t) = r_0 e^{st} \quad \rightarrow \quad (ms^2 + k)X_0 e^{st} = 0$

$$r_C(t) = R_1 e^{i\omega t} + R_2 e^{-i\omega t} = r_r(t) + r_b(t)$$

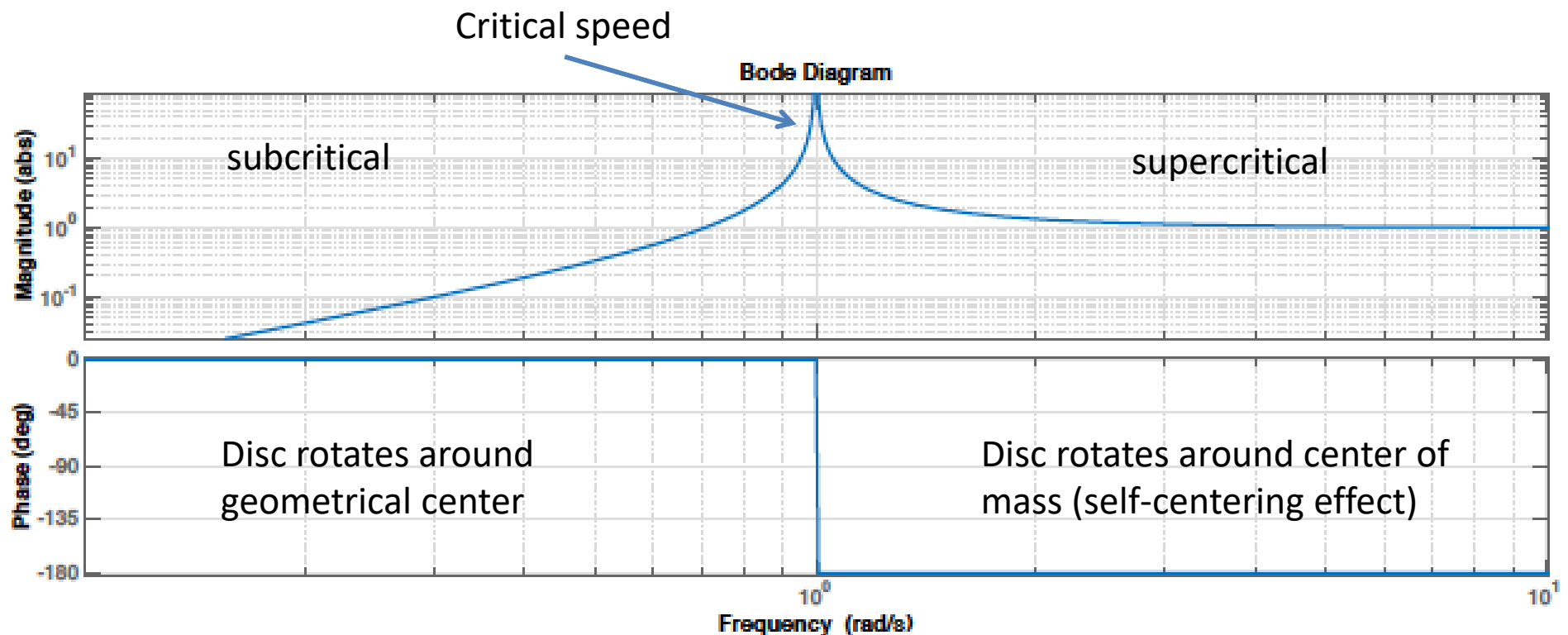


# Complex coordinates

2. Unbalance response  $r_C(t) = r_0 e^{i\Omega t}$

$$\rightarrow (-m\Omega^2 + k)r_{C_0} = m\varepsilon\Omega^2$$

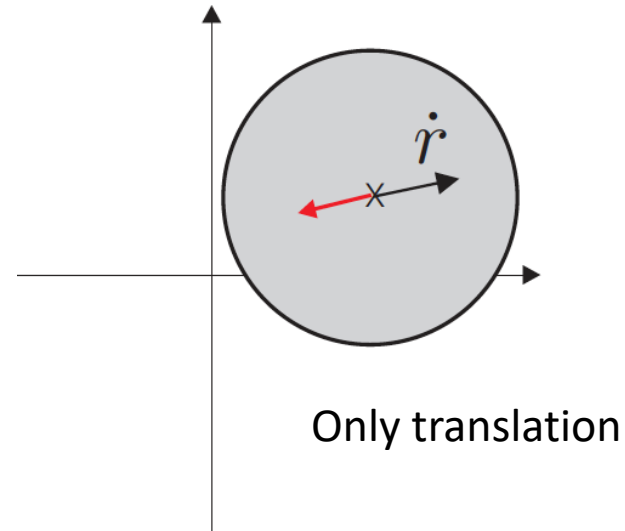
$$\frac{r_{C_0}}{\varepsilon} = \frac{\Omega^2}{\Omega_c^2 - \Omega^2}$$



# Jeffcott rotor with viscous damping

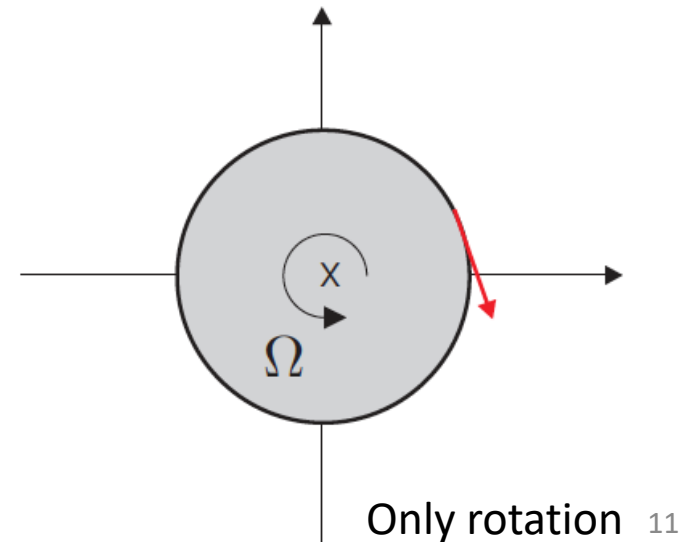
Non-rotating damping

$$\mathbf{F}_n = \begin{Bmatrix} F_x \\ F_y \end{Bmatrix} = -c_n \begin{Bmatrix} \dot{x}_C \\ \dot{y}_C \end{Bmatrix}$$



Rotating damping

$$\mathbf{F}_r = \begin{Bmatrix} F_u \\ F_v \end{Bmatrix} = -c_r \begin{Bmatrix} \dot{u}_C \\ \dot{v}_C \end{Bmatrix}$$



# Jeffcott rotor with viscous damping

Express rotation damping in fixed frame :

$$\begin{Bmatrix} u_C \\ v_C \end{Bmatrix} = \mathbf{R} \begin{Bmatrix} x_C \\ y_C \end{Bmatrix} \quad \mathbf{R} = \begin{bmatrix} \cos(\Omega t) & \sin(\Omega t) \\ -\sin(\Omega t) & \cos(\Omega t) \end{bmatrix}$$

$$\begin{Bmatrix} \dot{u}_C \\ \dot{v}_C \end{Bmatrix} = \mathbf{R} \begin{Bmatrix} \dot{x}_C \\ \dot{y}_C \end{Bmatrix} + \dot{\mathbf{R}} \begin{Bmatrix} x_C \\ y_C \end{Bmatrix} \quad \dot{\mathbf{R}} = \Omega \begin{bmatrix} -\sin(\Omega t) & \cos(\Omega t) \\ -\cos(\Omega t) & -\sin(\Omega t) \end{bmatrix}$$

$$\begin{bmatrix} m & 0 \\ 0 & m \end{bmatrix} \begin{Bmatrix} \ddot{x}_C \\ \ddot{y}_C \end{Bmatrix} + \begin{bmatrix} c_n + c_r & 0 \\ 0 & c_n + c_r \end{bmatrix} \begin{Bmatrix} \dot{x}_C \\ \dot{y}_C \end{Bmatrix} + \left( \begin{bmatrix} k & 0 \\ 0 & k \end{bmatrix} + \Omega \begin{bmatrix} 0 & c_r \\ -c_r & 0 \end{bmatrix} \right) \begin{Bmatrix} x_C \\ y_C \end{Bmatrix} = m\epsilon\Omega^2 \begin{Bmatrix} \cos(\Omega t) \\ \sin(\Omega t) \end{Bmatrix}$$

# Jeffcott rotor with viscous damping

$$r_C = x_C + iy_C$$

$$m\ddot{r}_C + (c_r + c_n)\dot{x}_C + (k - ic_r\Omega)r_C = m\varepsilon\Omega^2 e^{i\Omega t}$$

Complex stiffness  
= negative damping

Rotating damping performs two tasks:

1. dissipating energy
2. transferring energy from the rotation of the system to its vibration

1. Free whirling:

$$r_C = r_0 e^{st} \quad \rightarrow \quad ms^2 + (c_r + c_n)s + k - i\Omega c_r = 0$$

$$s = \sigma + i\omega = -\frac{c_r + c_n}{2m} \pm \sqrt{\frac{(c_r + c_n)^2 - 4m(k - i\Omega c_r)}{4m^2}}$$

$$r = R_1 e^{(\sigma_1 + i\omega_1)t} + R_2 e^{(\sigma_2 + i\omega_2)t}$$

$R_1$  and  $R_2$  are determined with initial conditions

$$\begin{cases} \sigma_{1,2} = -\frac{c_r + c_n}{2m} \pm \frac{1}{\sqrt{2}} \sqrt{\sqrt{\Gamma^2 + \left(\frac{\Omega c_r}{m}\right)^2} - \Gamma} \\ \omega_{1,2} = \pm \frac{\text{sgn}(\Omega)}{2m} \pm \frac{1}{\sqrt{2}} \sqrt{\sqrt{\Gamma^2 + \left(\frac{\Omega c_r}{m}\right)^2} + \Gamma} \end{cases} \quad \Gamma = \frac{k}{m} - \frac{(c_r + c_n)^2}{4m^2}$$

Homework: Show that the system is stable ( $\sigma_1 < 0$ ) if  $\Omega < \sqrt{\frac{k}{m}} \left(1 + \frac{c_n}{c_r}\right)$

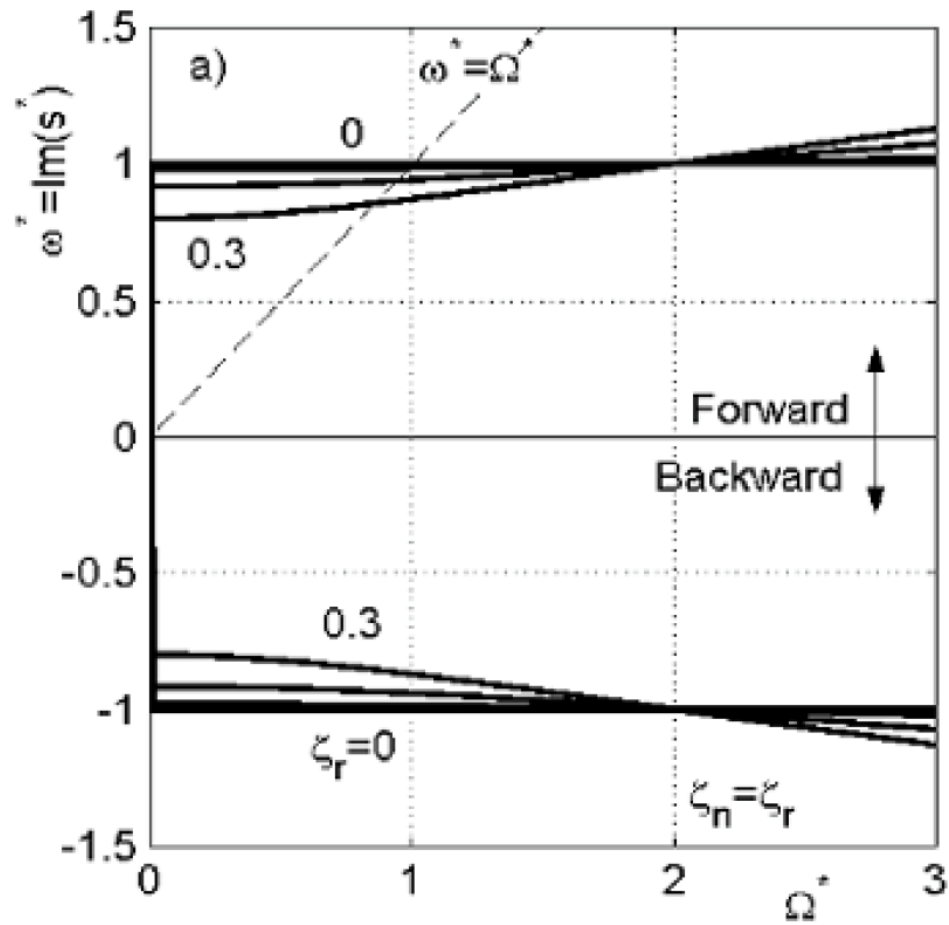
Roots can be expressed in nondimensional form:

$$\left\{ \begin{array}{l} \sigma^* = -(\zeta_r + \zeta_n) \pm \sqrt{\sqrt{\Gamma^{*2} + \Omega^{*2} \zeta_r^2} - \Gamma^*} , \\ \omega^* = \pm \text{sgn}(\Omega^*) \sqrt{\sqrt{\Gamma^{*2} + \Omega^{*2} \zeta_r^2} + \Gamma^*} , \end{array} \right.$$

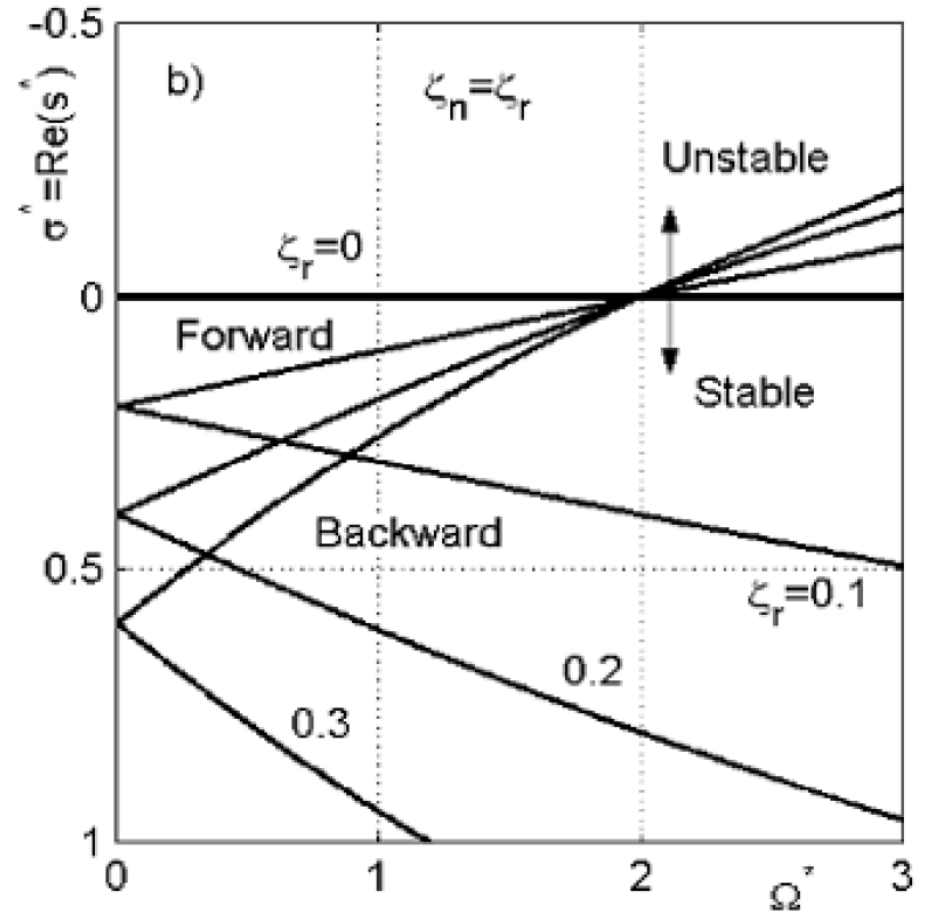
$$\begin{array}{ll} \omega^* = \omega / \sqrt{k/m} , & \sigma^* = \sigma / \sqrt{k/m} , \\ \Omega^* = \Omega / \sqrt{k/m} , & \Gamma^* = [1 - (\zeta_n + \zeta_r)^2] / 2 , \\ \zeta_r = c_r / 2\sqrt{km} , & \zeta_n = c_n / 2\sqrt{km} . \end{array}$$

The nondimensional values of  $\sigma^*$  and  $\omega^*$  are then functions of the spin speed  $\Omega^*$  and of only two parameters  $\zeta_n$  and  $\zeta_r$ .

Campbell diagram



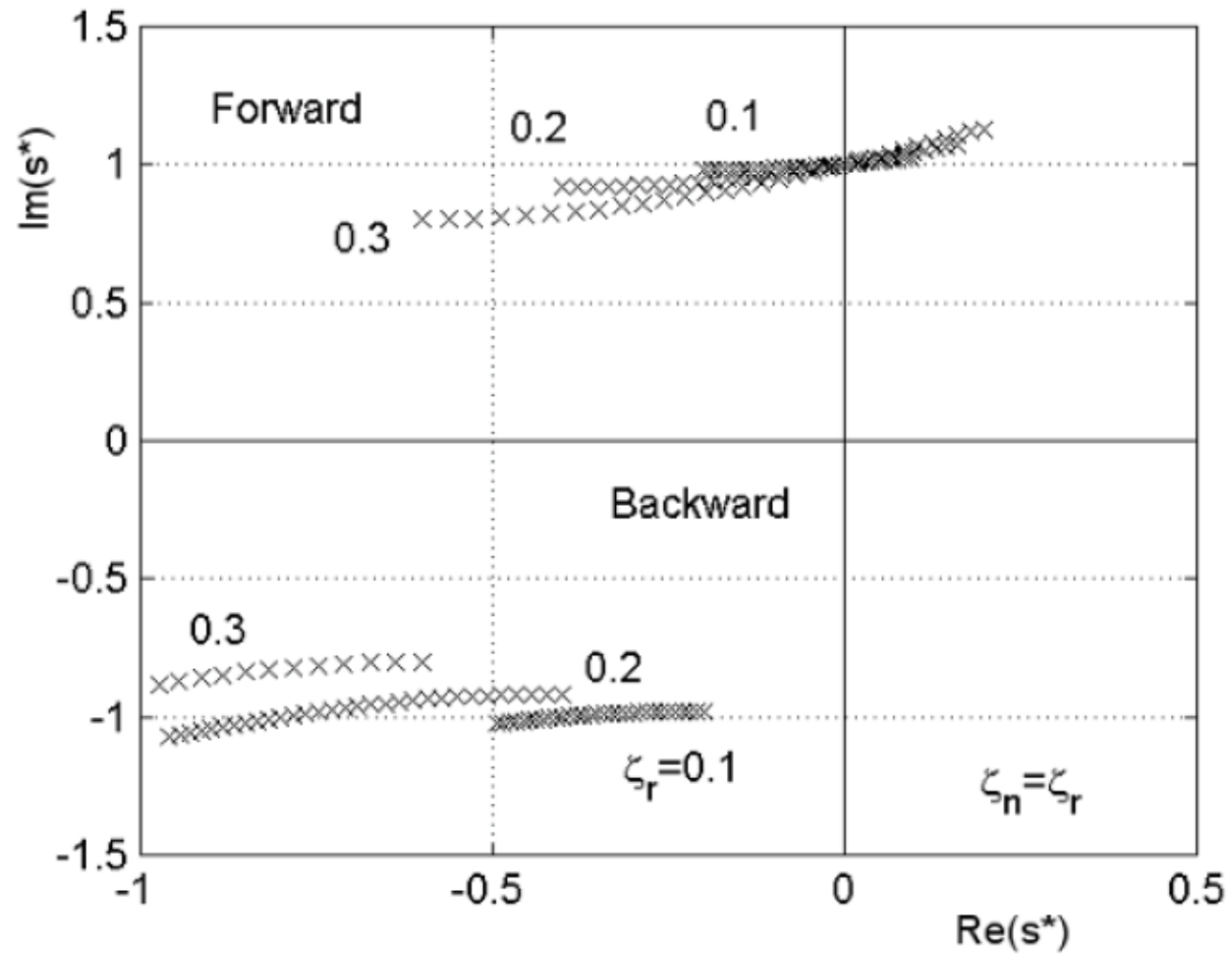
Decay rate plot



For increasing rotation speed, the forward whirl becomes unstable !



Root locus: Motion of the roots in the complex plane for increasing value of the rotating frequency



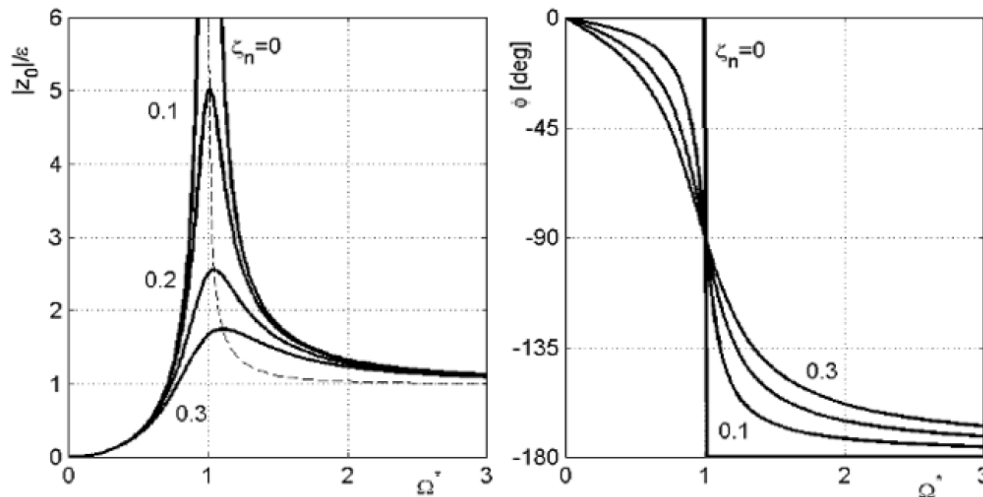
2. Unbalanced response  $r_C = r_{C_0} e^{i\Omega t}$

is solution of  $m\ddot{r}_C + (c_r + c_n)\dot{r}_C + (k - ic_r\Omega)r_C = m\epsilon\Omega^2 e^{i\Omega t}$

if  $r_{C_0}(-m\Omega^2 + i\Omega c_n + k) = m\epsilon\Omega^2$



Rotating damping does not enter in the equation



$$|r_{C_0}| = \epsilon \frac{\Omega^{*2}}{\sqrt{(1 - \Omega^{*2})^2 + 4\zeta_n^2 \Omega^{*2}}}$$

$$\Phi = \arctan \left( \frac{-2\Omega^* \zeta_n}{1 - \Omega^{*2}} \right)$$

# Summary

- Rotation speed is critical when it corresponds to a resonance
- Without damping: the resonances do not depend on the rotation frequency
- With rotating damping: resonances depend on rotation and one pole becomes unstable for high rotation speed