Rare Decays Searches with NA62

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UCL/CP3

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Belgian Science Policy Office







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Dec 21, 2017 1 / 24

- Decays highly suppressed in the SM.
- Fully controlled and calculable

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- Decays highly suppressed in the SM.
- Fully controlled and calculable



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$$\begin{split} \Gamma(B^+ \to K^+ e^+ e^-) &= (5.5 \pm 0.7) \times 10^{-7} \\ \Gamma(B^+ \to K^+ \mu^+ \mu^-) &= (4.43 \pm 0.24) \times 10^{-7} \end{split}$$

$$\begin{split} & \Gamma(B^0 \to K^0 e^+ e^-) = (1.6^{+1.0}_{-0.8}) \times 10^{-7} \\ & \Gamma(B^0 \to K^0 \mu^+ \mu^-) = (3.39 \pm 0.34) \times 10^{-7} \\ & \Gamma(B^0 \to \mu^+ \mu^-) = (1.8 \pm 3.1) \times 10^{-10} \end{split}$$

$$\Gamma(B^0_s o \mu^+ \mu^-) = (2.4^{+0.9}_{-0.7}) imes 10^{-9}$$

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$$\Gamma(K^+ \to \pi^+ e^+ e^-) = (3.00 \pm 0.09) \times 10^{-7}$$

$$\Gamma(K^+ \to \pi^+ \mu^+ \mu^-) = (9.4 \pm 0.6) \times 10^{-8}$$

$$\Gamma(K^+ o \pi^+
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• BSM may predict an enhancement/suppression of these decays.

Indirect test of New Physics at higher energy scales.

$K \rightarrow \pi \nu \bar{\nu}$ in the Standard Model

• FCNC processes dominated by Z-penguin and box diagrams



- Very clean theoretically:
 - GIM suppression + CKM suppression $(V_{ts}^*V_{td})$
 - Short distances contributions: NLO (top) NNLO (charm)
 - Long-distance distributions under control:
 - No amplitudes with intermediate photons
 - * Hadronic amplitudes from K_{e3} via isospin rotation

$$BR(K^+ \to \pi^+ \nu \bar{\nu}) = (8.4 \pm 1.0) \times 10^{-11}$$
$$BR(K^0 \to \pi^0 \nu \bar{\nu}) = (3.4 \pm 0.6) \times 10^{-11}$$

$K \to \pi \nu \bar{\nu}$: experimental status

$$BR(K^+ o \pi^+
u ar{
u}) = (17.3^{+11.5}_{-10.5}) imes 10^{-11} \ BR(K^0 o \pi^0
u ar{
u}) < 2.6 imes 10^{-11} ext{ 90\% CL}$$



Dec 21, 2017 4 / 24

 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ and new physics



 Dec 21, 2017 5 / 24

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$K \rightarrow \pi \nu \bar{\nu}$ and CKM

$$\begin{aligned} \mathsf{BR}(\mathsf{K}^+ \to \pi^+ \nu \bar{\nu}) &\propto \sigma \bar{\eta}^2 + (\rho_c - \rho)^2 \\ \mathsf{BR}(\mathsf{K}^0 \to \pi^0 \nu \bar{\nu}) &\propto \bar{\eta}^2 \end{aligned}$$



Rare Decays at NA62

Dec 21, 2017 6 / 24

NA62 Experiment

Birmingham, Bratislava, Bristol, Bucharest, CERN, Dubna(JINR), Fairfax, Ferrara, Florence, Frascati, Glasgow, Liverpool, Louvain-la-Neuve, Mainz, Merced, Moscow (INR), Naples, Perugia, Pisa, Prague, Protvino(IHEP), Rome I, Rome II, San Luis Potosi, SLAC, Sofia, TRIUMF, Turin, Vancouver (UBC)

29 Institutes, 230 Collaborators

- NA62: Kaon experiment at CERN SPS
 - Main goal: 10% measurement BR($K^+ \rightarrow \pi^+ \nu \bar{\nu}$)
 - Decay in flight technique
- Broader physics program
 - ▶ LFV/LNV in K⁺ decays
 - Hidden sector particle searches
- Status: NA62 is taking data since 2016

2015	2016	2017	2018
$3 imes 10^8$	$1.0 imes10^{11}$	$3 imes 10^{12}$	$5 imes 10^{12}$

- Approved until LS2
- Run after LS2 under discussion

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- 400 GeV/c
- $\bullet \ 33 \times 10^{11} \ \text{POT/spill}$

Secondary Beam

- +75 GeV/c ($\Delta p/p \sim 1\%$)
- *K*(6%),π(70%),p(23%)
 Kaon Decays
- $\sim 5 \text{ MHz}$
- $\bullet ~ 4.5 \times 10^{12}/\text{year}$



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Experimental principles:

- Precise kinematic reconstruction
- PID: K upstream, $e/\mu/\pi$ downstream
- Hermetic γ detection
- sub-ns timing

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NA62 setup





NA62 setup



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GTK





Rare Decays at NA62

Dec 21, 2017 11 / 24

 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ in 2016



- N(K decays)
 - ho $\sim 2.3 imes 10^{10}$
 - 5% 2016 statistics
- PNN trigger
- No events in signal region

Event in box has m^2_{miss} (No GTK) outside the signal region

 Data taken at an average intensity of 13 × 10¹¹ POT (40% nominal)

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First results will be annouced in Moriond 2018!!!

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- "Decay" mode Measurement in parallel to $K^+ \to \pi^+ \nu \bar{\nu}$
 - $K^+ \rightarrow \pi^+ X$
 - $K^+ \rightarrow \ell^+ \nu$: sensitive to HNL

► LFV/LNV:
$$K^+ \rightarrow \pi^- \ell_1^+ \ell_2^+$$

 $K^+ \rightarrow \ell_1^- \bar{\nu} \ell_1^+ \ell_2^+$
 $K^+ \rightarrow \pi^+ \mu^\pm e^\mp$

- ▶ Dark photon: $K^+ \to \pi^+ \pi^0$, $\pi^0 \to A' \gamma$, $A' \to invisible$
- ▶ Protons on target: $A'/HNL \rightarrow \gamma\gamma, \ell^+\ell^-, \ell^\pm\pi^\mp$

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 - $K^+ \rightarrow \pi^+ X$
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 - $\begin{array}{ll} \blacktriangleright \ \mathsf{LFV}/\mathsf{LNV}: & {\cal K}^+ \to \pi^- \ell_1^+ \ell_2^+ \\ & {\cal K}^+ \to \ell_1^- \bar{\nu} \ell_1^+ \ell_2^+ \\ & {\cal K}^+ \to \pi^+ \mu^\pm e^+ \end{array}$
 - ▶ Dark photon: $K^+ \to \pi^+ \pi^0$, $\pi^0 \to A' \gamma$, $A' \to invisible$
 - ▶ Protons on target: $A'/HNL \rightarrow \gamma\gamma, \ell^+\ell^-, \ell^\pm\pi^\mp$
- "Beam Dump" mode Special runs with TAXes closed
 - ▶ Proton on copper (TAX): A,HNL $\rightarrow \gamma\gamma$, ℓ^+ , ℓ^- , $\ell^{\pm}\pi^{\mp}$
 - ▶ Few hours in 2016. Few days in 2017-18
 - Improvement of existing limits (CHARM)

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HLN in $K^+ \rightarrow \ell^+ N$

arXiv:1712.00297

• HNL should appear as peaks in $K^+ \rightarrow \ell^+ \nu \ M_{miss}^2$ distributions

$$\Gamma(K^+ o \ell^+ N) = \Gamma(K^+ o \ell^+
u) imes
ho(m_N) imes |U_{\ell 4}|^2$$

ullet Model independent searches based on 2015 data ($\sim 10^8~{\cal K}^+$ decays)



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HLN in $K^+ \rightarrow \ell^+ N$

arXiv:1712.00297



LFV/LNV in K^+ decays

Mode	UL@90% CL	Experiment
$\pi^+\mu^+e^-$	$< 1.310^{-11}$	E777/E865
$\pi^+\mu^-e^+$	$< 5.210^{-10}$	E865/NA48/2
$\pi^-\mu^+ e^+$	$< 5.010^{-10}$	E865/NA48/2
$\pi^- e^+ e^+$	$< 6.410^{-10}$	E865/NA48/2
$\pi^-\mu^+\mu^+$	$< 8.610^{-11}$	NA48/2

Rare Decays at NA62

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K⁺ -> π⁺ e⁻ e⁺ Data



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Rare Decays at NA62

Forbidden decays: LNV & LFV

Blind analysis M_{nue}=[480,505] MeV/c²

 $K^+ \rightarrow \pi^+ \mu^+ e^-$ Data



380 400 420 440 460 480





Single Event Sensitivity: SES=1/N_k* Acc

SES for Sample A 2016 data taking ~ 1.3 10-10

Full 2016 data taking (SampleA+B) possible improvement of the present upper limits for $K^+ \rightarrow \pi^+ \mu^- e^+$ and $K^+ \rightarrow \pi^- \mu^+ e^+$

2017 data taking ~ 10^{12} kaon decays \rightarrow improvement of ULs of 1 order of magnitude

500 520 540 InvMass_{sue} [MeV/c²]
Search Hidden Particles in beam dump mode

- 400 GeV protons on TAXes (Fe-Cu collimators)
- Production of long-lived particles from beauty and charm hadrons.
 - $\blacktriangleright~10^{18}$ POT: $\sim 2\cdot 10^{15}$ D mesons, $\sim 10^{11}$ b-hadrons
 - 80 days with nominal NA62 intensity
- Minimal changes wrt Decay mode (15 min setup)
- Signal: pair of tracks/photons from the same vertex. Overwhelming background.
- Needed a good understanding of the beam line
 - $\blacktriangleright~\sim$ 30m between target and TAXes
 - Optimisation: obtain maximum intensity in ECN3
- Interplay among phenomenology and experiment

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Beam Dump Mode: Heavy Neutral Leptons

- 2-track final states
- Assumed zero background
- Searches possible in both modes!!!



Beam Dump Mode: Heavy Neutral Leptons



Rare Decays at NA62

$\mathsf{ALPs}{\to}\gamma\gamma$

- ALP production via Primakoff effect at target
- ALP $\rightarrow \gamma \gamma$ decay in NA62 fiducial volume
- Assumed zero background (90% exclusion plots)



- Rare Decays are an excellent tool to indirectly discover BSM effects.
- NA62 is running and will be exploring Kaon Rare Decays in the following years.
 - Golden channel: $K^+ \rightarrow \pi^+ \nu \bar{\nu} \rightarrow$ results for the Winter Conferences.
 - Other Rare and Forbidden Decays analysis ongoing.
- Beam Dump mode will provide further insight in Hidden Sector Particles.
- IAP-FI has been instrumental in the development of NA62 in Belgium.

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BACKUP

Rare Decays at NA62

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Physics scale in effective theories

Any Field Theory can be viewed as an effective theory below a UV cutoff

$$L_{eff} = L^{d=4} + \frac{1}{\Lambda}L^{d=5} + \frac{1}{\Lambda^2}L^{d=6}$$

 Λ : maximum energy at which the theory is valid

• Higgs naturalness gives an upper bound on $\Lambda \sim \mathcal{O}(\text{TeV})$

$$\begin{array}{ll} \mathsf{B} \ \mathsf{number} \to \frac{1}{\Lambda^2} qqql & p - \textit{decay} \to \Lambda \ge 10^{15} \mathsf{GeV} \\ \mathsf{L} \ \mathsf{number} \to \frac{1}{\Lambda} \textit{IIHH} & m_\nu \to \Lambda \ge 10^{13} \mathsf{GeV} \\ \mathsf{quark} \ \mathsf{flavor} \to \frac{1}{\Lambda^2} \bar{s} \gamma^\mu d\bar{s} \gamma_\mu d & \Delta m_K \to \Lambda \ge 10^6 \mathsf{GeV} \end{array}$$

Adapted from G. Giudice and A. Ceccucci

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What energy scale are we testing with $K^+ \rightarrow \pi^+ \nu \bar{\nu}$





 $BR(\mu^{+} \to e^{+}\bar{\nu}_{\mu}\nu_{e}) \sim 1 \propto \frac{1}{M_{W}^{2}} \qquad BR(K^{+} \to \pi^{+}\nu\bar{\nu}) \sim 10^{-11} \propto \frac{1}{M_{A}^{2}}$ $\frac{BR(\mu^{+} \to e^{+}\bar{\nu}_{\mu}\nu_{e})}{BR(K^{+} \to \pi^{+}\nu\bar{\nu})} = 10^{11} = \frac{M_{A}^{2}}{M_{W}^{2}}$ $M_{A} \sim 10^{5}M_{W} \to \Lambda \ge 10^{4} \text{ TeV} \xrightarrow{MFV} \sim 10 \text{ TeV}$

K^+ beam experiments

- K^+ decay at rest
 - Low energy photons
 - Hermeticity
 - Compact experiments (ANL,BNL)
 - $p{\sim}25~{
 m GeV}
 ightarrow {
 m K}^+{\sim}700~{
 m MeV}$

- K^+ decay in flight
 - Energetic photons
 - Boosted events
 - Long baseline experiments (CERN)
 - $p{\sim}400~{
 m GeV}
 ightarrow K^+{=}75~{
 m GeV}$





Rare Decays at NA62

Ljung, Cline Phys. Rev (1973)

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$: History



29 / 24

${\it K} \rightarrow \pi \nu \bar{\nu}$ in the Standard Model

$$BR(K^{+} \to \pi^{+} \nu \bar{\nu}) = \kappa_{+} (1 + \Delta_{EM}) \cdot \left[\left(\frac{Im \lambda_{t}}{\lambda^{5}} X(x_{t}) \right)^{2} + \left(\frac{Re \lambda_{c}}{\lambda} P_{c}(X) + \frac{Re \lambda_{t}}{\lambda^{5}} X(x_{t}) \right)^{2} \right]$$

$$x_t = m_t^2/M_W^2$$
, $\lambda = |V_{us}|$, $\lambda_i = V_{is}^*V_{id}$, $\Delta_{EM} = -0.003$

•
$$\kappa_{+} = (5.173 \pm 0.025) \cdot 10^{-11} \left[\frac{\lambda}{0.225}\right]^{8} \dots G_{F}^{2} + \text{Long Distance}$$

• $X(x_{t}) = 1.481 \pm 0.005_{th} \pm 0.008_{exp} \dots \text{top NLO QCD} + \text{two loop EW}$
• $P_{c} = P_{c}^{SD} + \delta P_{c,u} = 0.404 \pm 0.024 \dots \text{charm NNLO QCD} + \text{EW}$
• $P_{c}^{SD} = \frac{1}{\lambda^{4}} \left[\frac{2}{3}X_{NNL}^{e} + \frac{1}{3}X_{NNL}^{\tau}\right] = 0.365 \pm 0.012$
• $\delta P_{c,u} = 0.04 \pm 0.02$

$K \rightarrow \pi \nu \bar{\nu}$ in the Standard Model

$$\mathsf{BR}(\mathsf{K}^0 \to \pi^0 \nu \bar{\nu}) = \kappa_L \cdot \left(\frac{\mathsf{Im}\lambda_t}{\lambda^5} X(x_t)\right)^2$$

$$x_t = m_t^2/M_W^2$$
, $\lambda = |V_{us}|$, $\lambda_i = V_{is}^*V_{id}$, $\Delta_{EM} = -0.003$

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$$\kappa_L = (2.231 \pm 0.013) \cdot 10^{-10} \left[\frac{\lambda}{0.225}\right]^8 \dots G_F^2 + \text{Long Distance}$$

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$K \rightarrow \pi \nu \bar{\nu}$ in the Standard Model

- QCD and electroweak corrections are under full control
- CKM uncertainties dominates: $|V_{cb}|, |V_{ub}|, \gamma$

$$BR(K^{+} \to \pi^{+} \nu \bar{\nu}) = (8.39 \pm 0.30) \times 10^{-11} \cdot \left[\frac{|V_{cb}|}{0.0407}\right]^{2.8} \left[\frac{\gamma}{73.2^{\circ}}\right]^{0.74}$$
$$BR(K^{0} \to \pi^{0} \nu \bar{\nu}) = (3.36 \pm 0.05) \times 10^{-11} \cdot \left[\frac{|V_{ub}|}{0.00388}\right]^{2} \left[\frac{|V_{cb}|}{0.0407}\right]^{2} \left[\frac{\sin(\gamma)}{\sin(73.2^{\circ})}\right]^{2}$$



$K \rightarrow \pi \nu \bar{\nu}$ and new physics

- New physics modify K^+ and K_L decays
- Measuring both channels may allow to discriminate different scenarios

$$\begin{aligned} \mathsf{BR}(\mathcal{K}^+ \to \pi^+ \nu \bar{\nu}) = &\kappa_+ (1 + \Delta_{EM}) \cdot \left[\left(\frac{\mathsf{Im} X_{eff}}{\lambda^5} \right)^2 + \left(\frac{\mathsf{Re} \lambda_c}{\lambda} P_c(X) + \frac{\mathsf{Re} X_{eff}}{\lambda^5} \right)^2 \right] \\ \mathsf{BR}(\mathcal{K}^0 \to \pi^0 \nu \bar{\nu}) = &\kappa_L \cdot \left(\frac{\mathsf{Im} X_{eff}}{\lambda^5} \right)^2 \\ &X_{eff} = V_{ts}^* V_{td} \left(X_L + X_R \right) = V_{ts}^* V_{td} X_L^{SM} \left(1 + \xi e^{i\theta} \right) \end{aligned}$$

$$\begin{aligned} \mathsf{Re} X_{eff} &= -\lambda^5 \left[\frac{\mathsf{BR}(\mathcal{K}^+ \to \pi^+ \nu \bar{\nu})}{\kappa_+ (1 + \Delta_{EM})} - \frac{\mathsf{BR}(\mathcal{K}^0 \to \pi^0 \nu \bar{\nu})}{\kappa_L} \right]^{1/2} - \lambda^4 \mathsf{Re} \lambda_c P_c(X) \\ \mathsf{Im} X_{eff} &= \lambda^4 \left[\frac{\mathsf{BR}(\mathcal{K}^0 \to \pi^0 \nu \bar{\nu})}{\kappa_L} \right]^{1/2} \end{aligned}$$

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$K \rightarrow \pi \nu \bar{\nu}$ and new physics

$$X_{eff} = V_{ts}^* V_{td} \left(X_L + X_R
ight) = V_{ts}^* V_{td} X_L^{SM} \left(1 + \xi e^{i\theta}
ight)$$

Three classes of NP models:

- CKM-like structure $\rightarrow X_R = 0$ and $X_L = real$
- New Flavor and CP-violating interactions. Strong correlation with ε_K
 - X_L dominates: NP "real". No influence on $K^0 \to \pi^0 \nu \bar{\nu}$.
 - X_R dominates: NP "imaginary"
- Left-Right operators are both sizable. No correlation with ε_K
 - Any value for $K^{+,0} \rightarrow \pi^{+,0} \nu \bar{\nu}$ possible
 - Subtle cancellations in ε_K parameters \rightarrow tuning of parameters

Buras et al. JHEP11(2015).166

$K \rightarrow \pi v \overline{v}$ NP Sensittivity

Simplified Z, Z' models [Buras, Buttazzo, Knegjens, JHEP 1511 (2015) 166]

NA62 |

- Littlest Higgs with T-parity [Blanke, Buras, Recksiegel, EPJ C76 (2016) no.4 182]
- O Custodial Randall-Sundrum [Blanke, Buras, Duling, Gemmler, Gori, JHEP 0903 (2009) 108]
- MSSM non-MFV [Tanimoto, Yamamoto arXiv:1603.0796, Isidori et al. JHEP 0608 (2006) 064]
- Constraints from existing measurements (correlations model dependent):
 - Kaon mixing and CPV, CKM fit, K,B rare meson decays, NP limits from direct searches



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$K \rightarrow \pi \nu \bar{\nu}$ in the Standard Model

- Complete computations of
 - NLO EW charm quark corrections
 - NLO EW top quark corrections
- NLO QCD top quark corrections

Buras et al. JHEP11(2015)033

Brod,Gorbahn, Phys.Rev.D78 (2008) 034006

Brod, Gorbahn, Stamou, Phys. Rev. D83 (2011) 034030

Buchalla,Buras, Nucl.Phys.B400(1993)225 Nucl.Phys.B548(1999)309 Misiak,Urban,Phys.Lett.B451(1999)161

Buras,Gorbahn,Haisch,Nierste, Phys.Rev.Lett.95(2005)261805 JHEP11(2006)002 Gorbahn,Haisch, Nucl.Phys.B713(2005)291

Isospin,non-perturbative effects

NNLO QCD charm correct.

Isidori, Mescia, Smith, Nucl. Phys. B718 (2005) 319 Mescia, Smith, Phys. Rev. D76 (2007) 034017



Rare Decays at NA62

NA62 setup



NA62 setup



"Snakes"





Dec 21, 2017 39 / 24

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Dec 21, 2017 39 / 24

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Rare Decays at NA62

Dec 21, 2017 39 / 24

Expected Signal and Background

N(K decays) $\sim 2.3 imes 10^{10}$ analyzed (5% 2016 statistics)

• Signal $N_{\pi\nu\nu}^{exp} = D^{control} \cdot N_{\pi\pi}^{control} \frac{BR_{\pi\nu\nu}}{BR_{\pi\pi}} \cdot \frac{A_{\pi\nu\nu}}{A_{\pi\pi}} \cdot \epsilon^{trig}$ $N_{\pi\pi}^{control} \quad 3.3 \times 10^{8}$ $A_{\pi\pi} \quad \sim 0.07$ $A_{\pi\nu\nu} \quad \sim 0.033$ $\epsilon^{trig} \quad 0.83$

Background

 $\begin{array}{ll} {\cal K}^+ \to \pi^+ \pi^0 & 0.024 \\ {\cal K}^+ \to \mu^+ \nu & 0.011 \\ {\cal K}^+ \to \pi^+ \pi^+ \pi^- & 0.017 \end{array}$

 $N_{back}^{exp} \simeq 0.052$

Dark photon



Dark photon



Dec 21, 2017 41 / 24

Forbidden decays: LNV & LFV

LFV K⁺ $\rightarrow \pi^+\mu^+e^-$ B < 1.3 x 10⁻¹¹ (90% CL) [A. Sher, et al. Phys. Rev. D, 72 (2005), 012005]

LFV K⁺ $\rightarrow \pi^{+}\mu^{-}e^{+}$ B < 5.2 x 10-10 (90% CL) [R. Appel, et al. Phys. Rev. Lett., 85 (2000), 2877]

LNV K⁺ $\rightarrow \pi \cdot \mu + e^+$ B < 5.0 x 10⁻¹⁰ (90% CL) [R. Appel, et al. Phys. Rev. Lett., 85 (2000), 2877]

Data sample:

2016 SampleA (162 runs) → N_K~ 1.34 10¹¹



Rare decays: $K^+ \rightarrow \pi^+ \mu^+ \mu^-$

FCNC decay K⁺ $\rightarrow \pi^+\mu^+\mu^-$ B=(9.62±0.25) x 10-8

[J.R. Batley et al.(NA48/2 collaboration), Phys. Lett.B 697(2011) 107]



Data sample:

- 2016 SampleA (162 runs) $\rightarrow N_{K} \sim 3.44 \ 10^{11} \ (16/09/2016 03/11/2016)$
- Dedicated trigger chain: L0: RICH Q_x MO2 L1: !LAV STRAW_{exotics}

10⁴ 10² 10

 $K^+ \rightarrow \pi^+ \mu^- \mu^+$ Data

Selection not optimize for $K^+ \rightarrow \pi^+\mu^+\mu^-$ decay PID procedure optimize for $K \rightarrow \pi\mu e$ selection

Dec 21, 2017 43 / 24

Rare decays: $K^+ \rightarrow \pi^+ e^+ e^-$

FCNC decay K⁺ $\rightarrow \pi^+e^+e^-$ B=(3.11±0.12) x 10⁻⁷

[J.R. Batley et al.(NA48/2 collaboration), Phys. Lett.B 677(2009) 246]



Data sample:

- 2016 SampleA (112 runs) $\rightarrow N_{K} \sim 5.85 \ 10^{10}$ (03/10/2016 03/11/2016)
- Trigger chain: L0: RICH Q_x E_{LKr}>20GeV L1: !LAV STRAW_{exotics}



K⁺ -> π⁺ e⁻ e⁺ Data

Selection not optimize for $K^+ \rightarrow \pi^+e^+e^-$ decay PID procedure optimize for $K \rightarrow \pi ue$ selection





Rare Decays at NA62

Dec 21, 2017 45 / 24





Rare Decays at NA62

Dec 21, 2017 45 / 24









Dec 21, 2017 46 / 24






GTK

