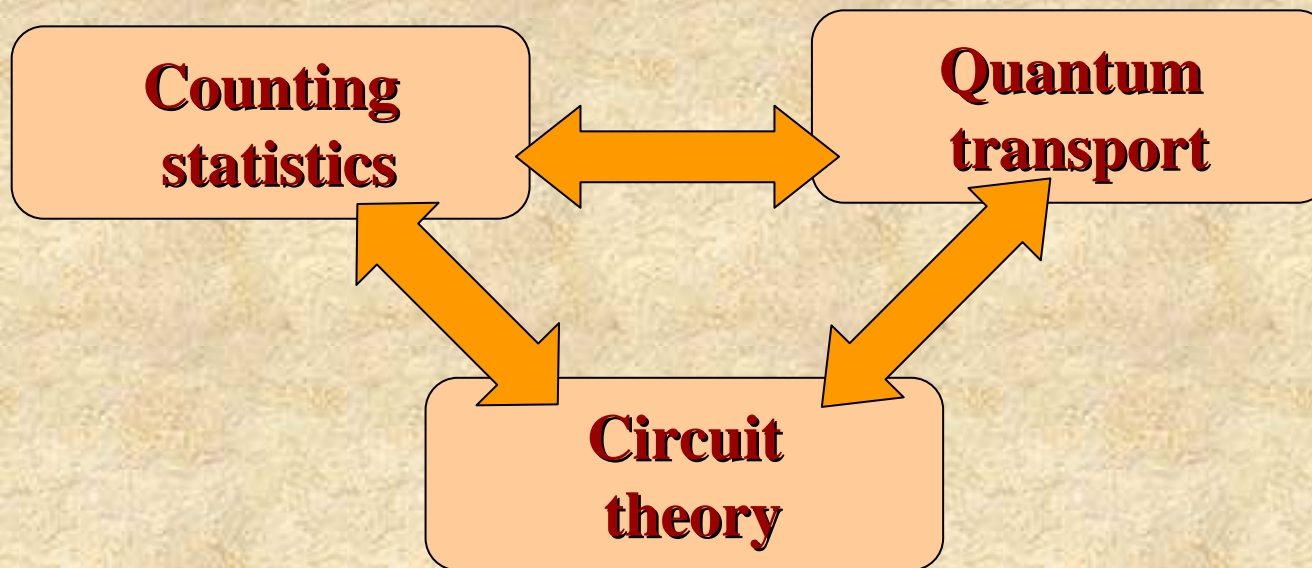


# Electron counting statistics



**Lecture #1**

**Yuli V. Nazarov**

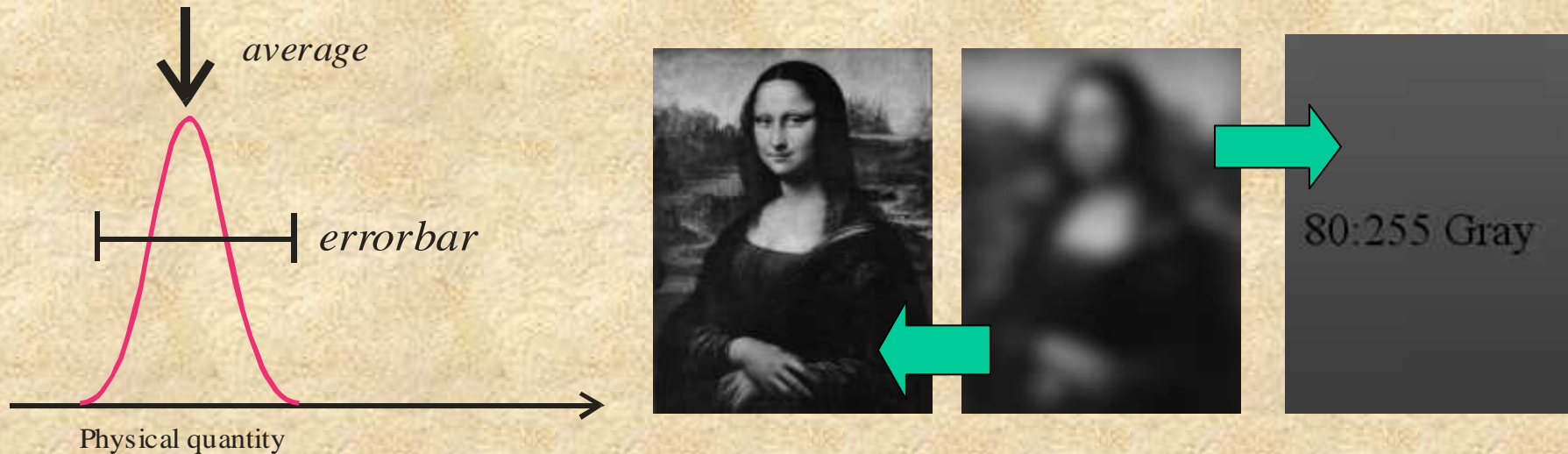
***Kavli Institute of NanoScience Delft***

# Outline

- Personal motivation
- What is counting statistics?
- What is quantum transport?
  - this lecture: scattering, scattering, scattering...
  - and statistics arising from this

# First day at university

- Cosmic ray counter: 12 14 11 9 10 13 14 8
- Average: 11.9 Error: 0.01
- Physics concerns average values



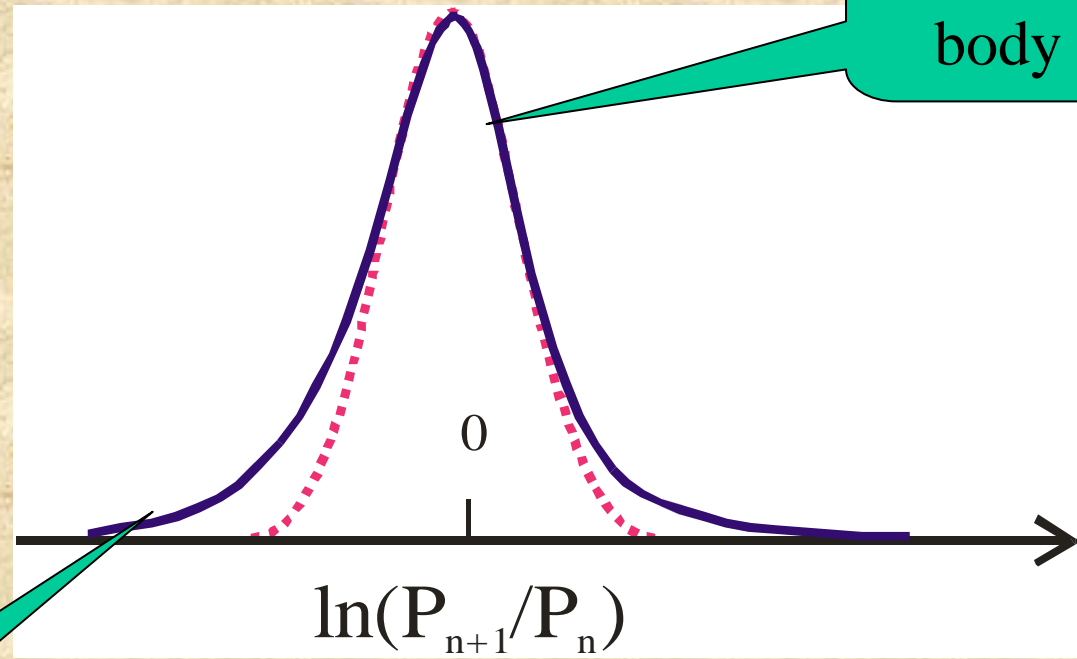
- And many be some gaussian(?) fluctuations
- Is this practical?

# Rich statistics: practical example

- Distribution of daily dividends



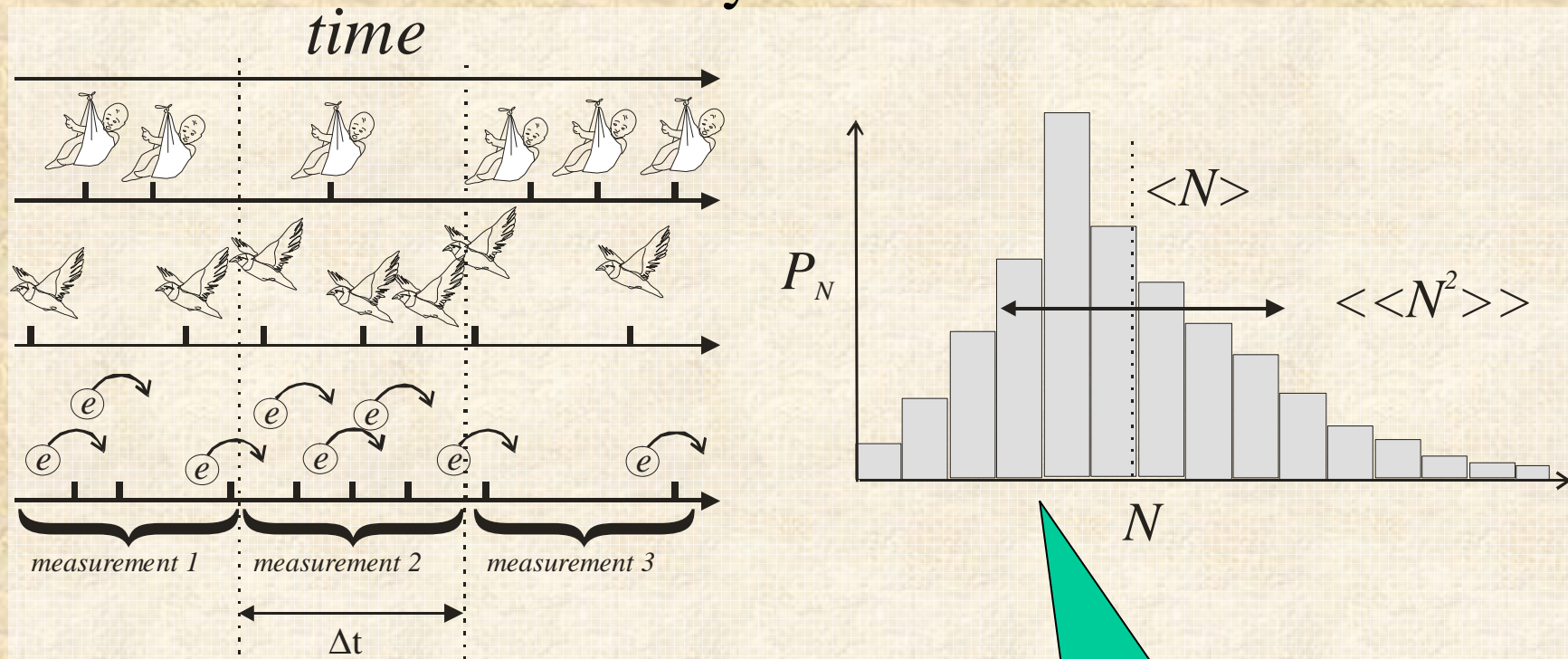
Student's tails



Gaussian body

# Counting statistics

How to count correctly?



How to count electrons?  
Separate question...

Histogram

# Characteristic function

$$\Lambda_t(\chi) = \sum_N \exp(i\chi N) P_t(N)$$

- Independent events:  $\Lambda$  factorizes
- Time property:  $\ln \Lambda_t(\chi) \propto t$
- Elementary event analysis

$$\ln \Lambda_t(\chi) = t \ln(1 + W_1(\exp(i\chi) - 1) + W_2(\exp(i2\chi) - 1) + \dots + W_M(\exp(iM\chi) - 1))$$

single 

Couple



Flock

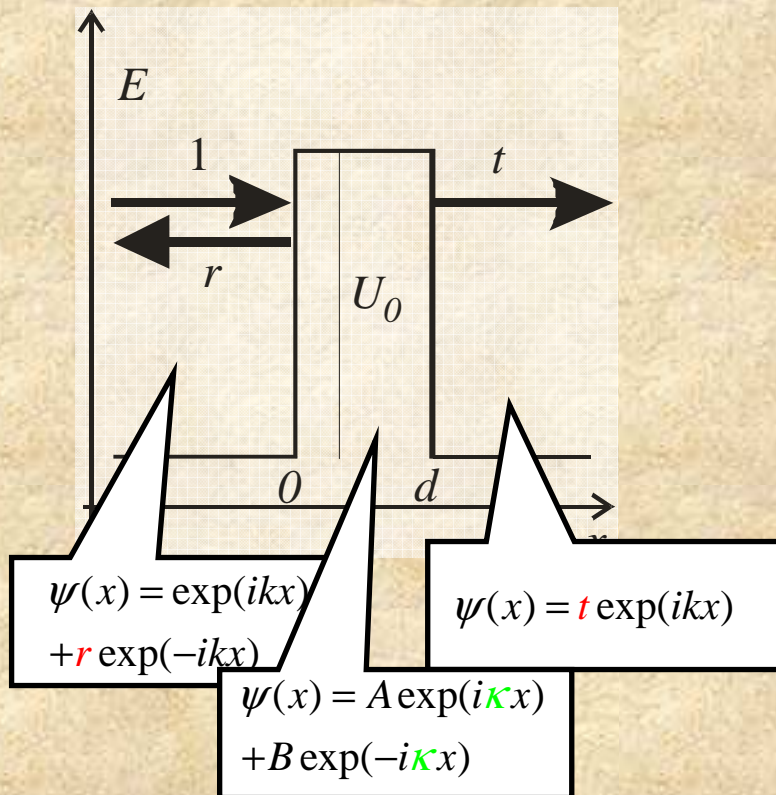


# What is quantum transport?

- Natural sciences:
  - Everything consists of atoms
- Quantum transport
  - This is not important!
  - $G \gtrless e^2 / \pi\hbar \equiv G_Q$

# Potential barrier

Intersect the waveguide with a potential barrier  $U(x)$



$$\frac{(\hbar k)^2}{2m} = E; \quad \frac{(\hbar \kappa)^2}{2m} = E - U_0$$

4 unknown variables:

$A, B, r, t$

4 equations:

continuity of wavefunction and its derivative at two boundaries

**Dictionary:** reflection and transmission amplitudes

# Nanostructure versus waveguide

*Nanostructure*: can be very complex

*Can be modelled as*: waveguide with transport channels

+ potential barrier

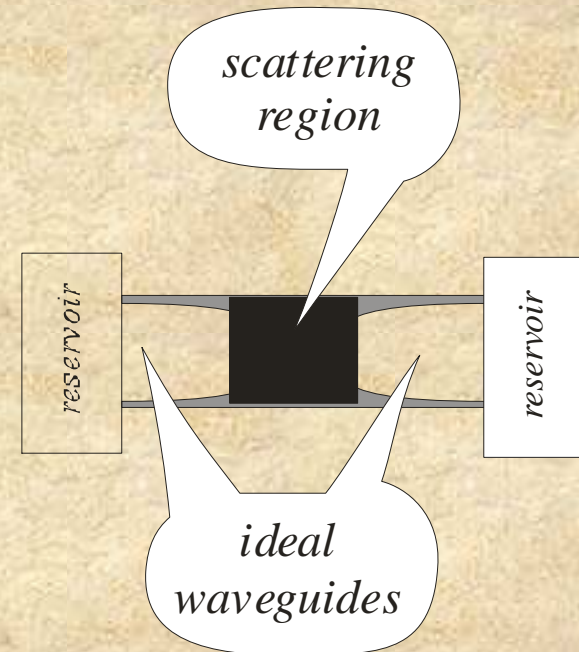
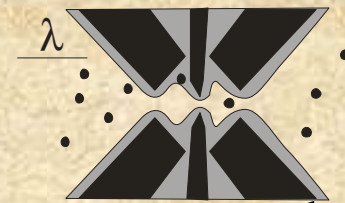
*Essence*: set of transmissions  $T_n$

**Enough to describe the transport!**

*To see this*: consider

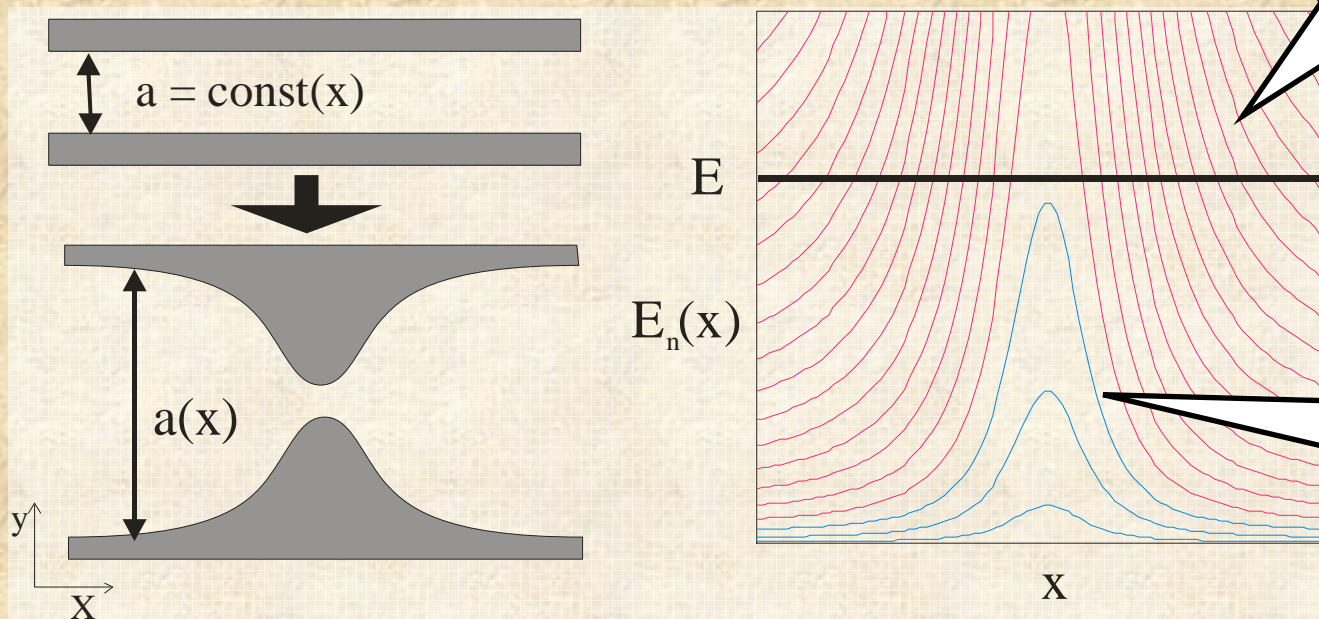
*Adiabatic Quantum Transport,*

*Quantum Point Contact*



# Adiabatic Quantum Transport

Constriction as a potential barrier

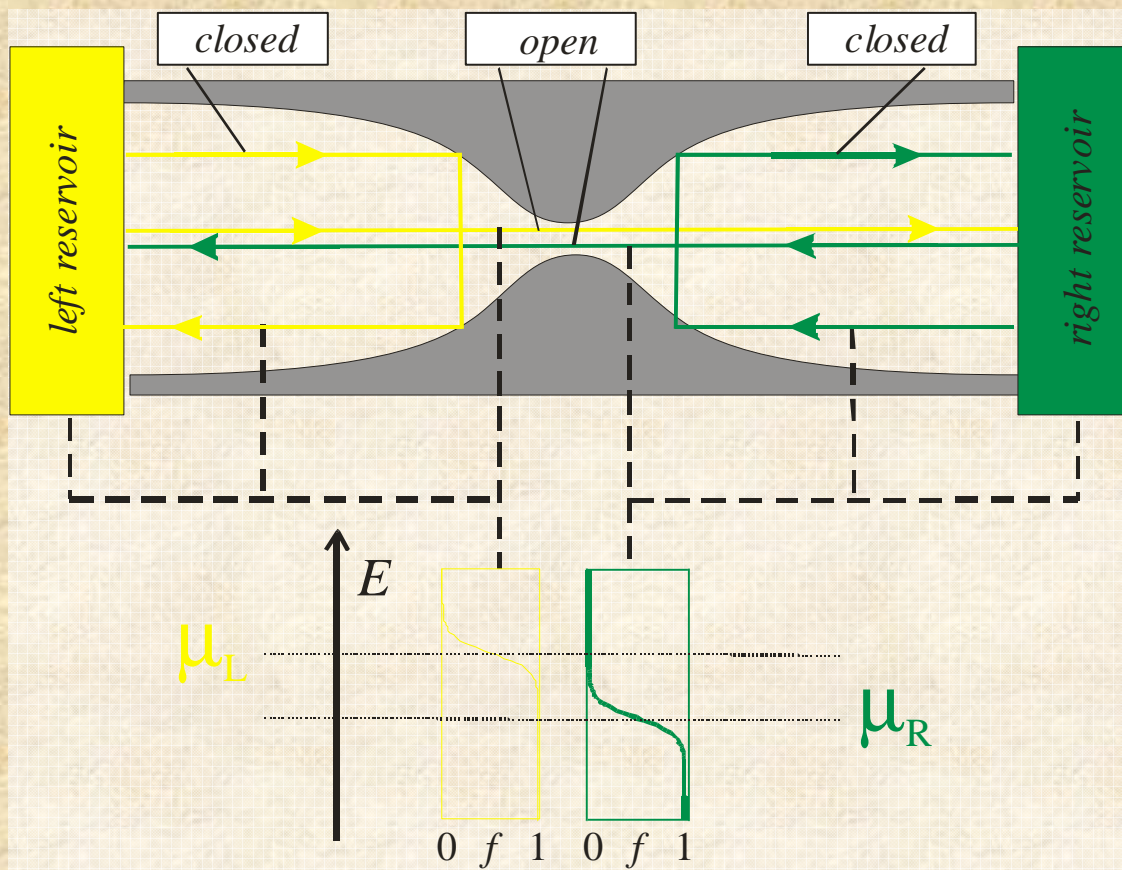


Closed Channels  
(T=0)

Open Channels  
(T=1)

$$E_n(x) = \frac{\pi^2 \hbar^2}{2m} \left( \frac{n_y^2}{a^2(x)} + \frac{n_z^2}{b^2(x)} \right)$$

# Current in a QPC



Filling factors are brought from the reservoirs

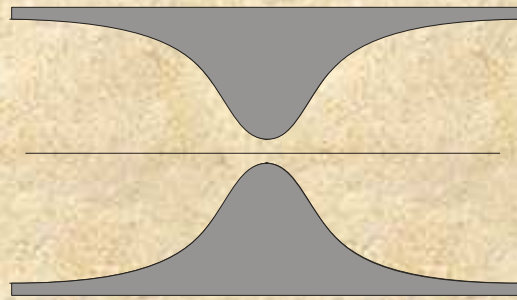
$$N_{att}(t) = N_{open} \frac{2_s e V t}{2\pi\hbar}$$

Quantized conductance

$$I = \frac{2_s e}{2\pi\hbar} \sum_{n:open} \int dE [f_L(E) - f_R(E)] = \frac{2_s e}{2\pi\hbar} N_{open} (\mu_L - \mu_R) = G_Q N_{open} V$$

# Building a Landauer conductor

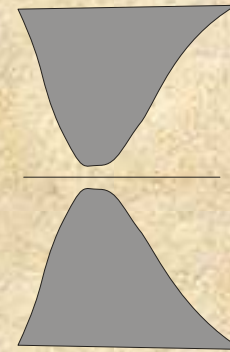
Adiabatic Quantum Transport



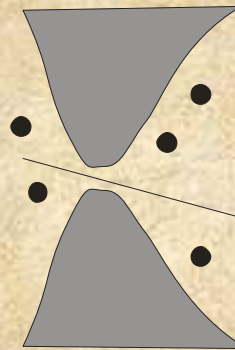
I



Quantum Point Contact

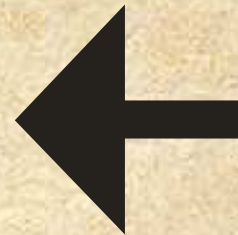


II

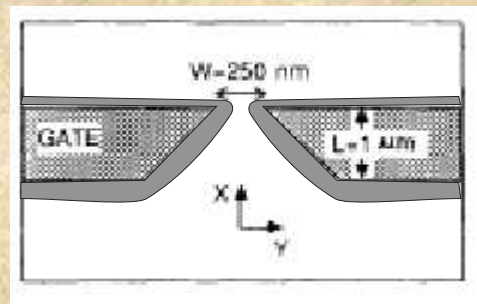


III

QPC with scattering



Real life



IV

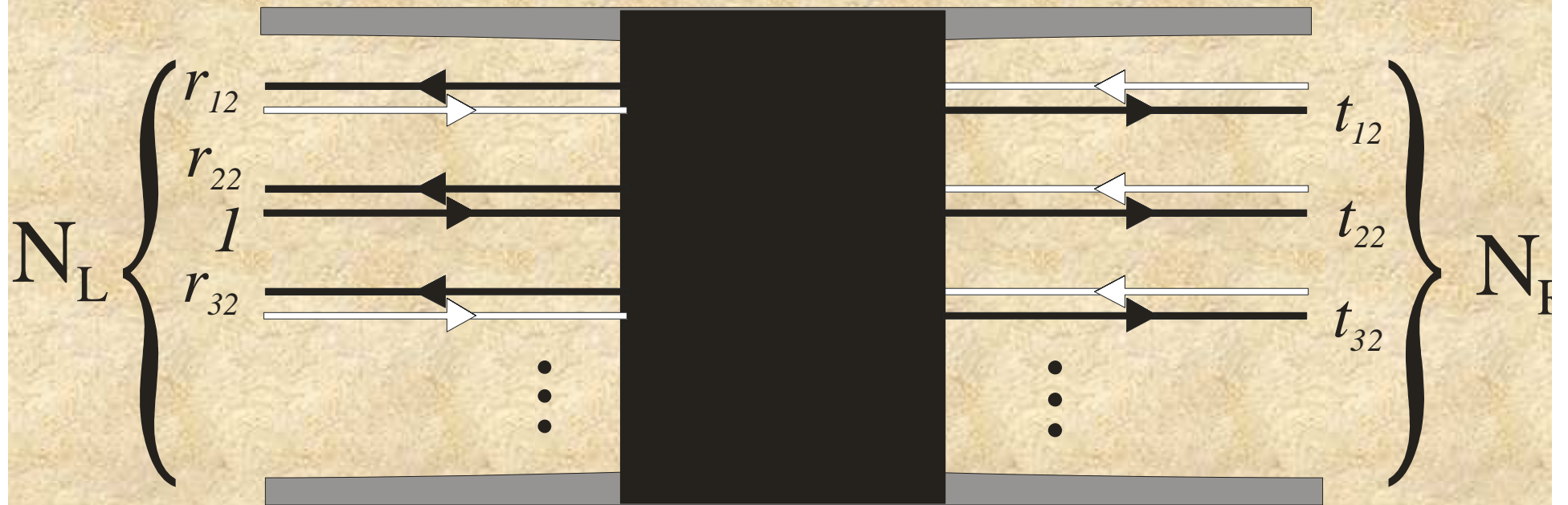
# Scattering matrix

$N_R + N_L$  Incoming amplitudes  $\vec{a}$

$N_R + N_L$  Outgoing amplitudes  $\vec{b}$

$$\vec{b} = \hat{s}\vec{a}$$

$$\begin{bmatrix} \vec{b}_L \\ \vec{b}_R \end{bmatrix} = \begin{bmatrix} \hat{r} & \hat{t}' \\ \hat{t} & \hat{r}' \end{bmatrix} \begin{bmatrix} \vec{a}_L \\ \vec{a}_R \end{bmatrix}$$



# Scattering matrix: properties and example

$$\hat{s}^+ \hat{s} = \hat{1}$$

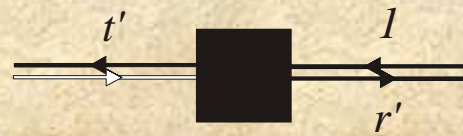
unitarity



$$\hat{r}^+ \hat{r} + \hat{t}^+ \hat{t} = \hat{1}$$

$$\hat{t} = \hat{t}'$$

Time reversability



**One-channel  
scatterer**

$$\begin{bmatrix} b_L \\ b_R \end{bmatrix} = \begin{bmatrix} r & t' \\ t & r' \end{bmatrix} \begin{bmatrix} a_L \\ a_R \end{bmatrix}$$

$$r = \sqrt{R} e^{i\theta}; r' = -\sqrt{R} e^{i(2\eta - \theta)};$$

$$t = \sqrt{T} e^{i\eta}; T = 1 - R$$

# Restrictions and limitations

## *Restrictions:*

- Elastic scattering: electrons pass without energy loss
- Electrons do not interact (*the same?*)

## *Limitations:*

- Nature is generally merciful
- Electrons do not interact close to Fermi level
- Low temperature, voltage are good
- Short structures are good
- Limitations depend on quantity of interest

# Landauer formula

Hermitian matrix

$$\hat{t}^\dagger + \hat{t}$$

Has a set of  
eigenvalues:

$$T_p$$

transmissions

At each energy  $E$

**The current reads:**

$$I = G_Q \sum_p \int dE T_p(E) (f_L(E) - f_R(E))$$

$$I = G_Q \sum_p T_p V$$

# Simple-minded derivation

## One channel:

Reservoir biased at  
voltage  $V$  sends

$$N_{att}(t) = \frac{2_s e V t}{2\pi\hbar}$$

electrons

Chance to pass:  $T_0$       Charge passed:  $Q = e T_0 N_{att}$

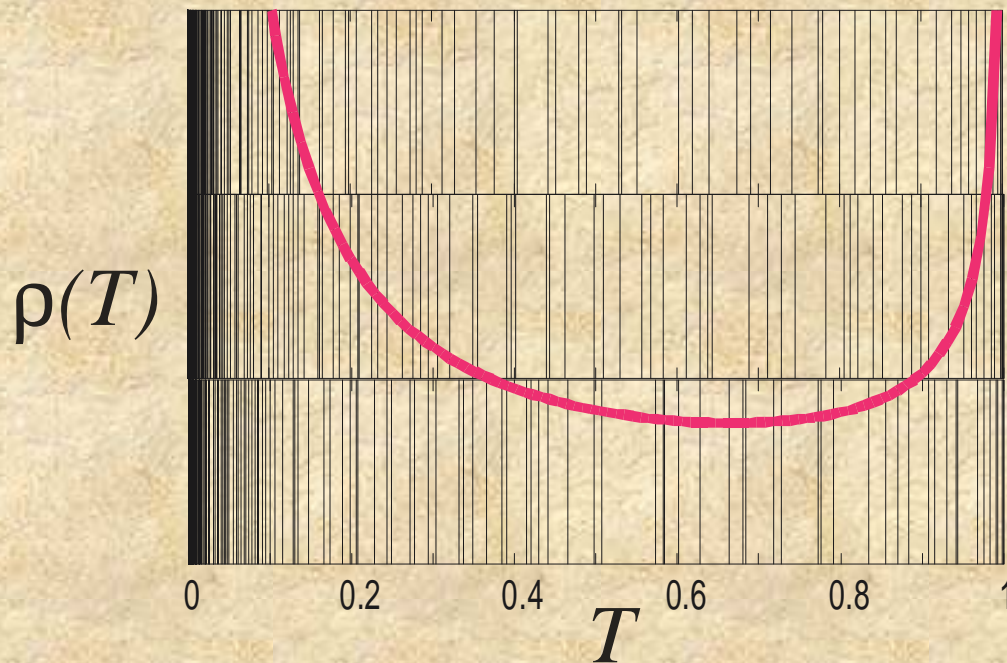
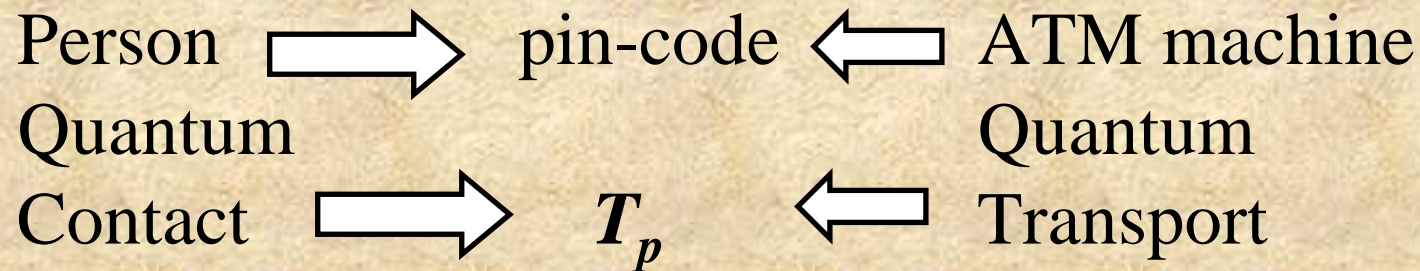
Average current:

$$I = Q / t = G_Q T_0 V$$

## Many channels: sum over channels

$$I = G_Q \sum_p T_p V$$

# Transmission distribution



$$\rho(T) = \left\langle \sum_p \delta(T - T_p) \right\rangle$$

Diffusive contact:

$$\rho(T) = \frac{\langle G \rangle}{2G_Q} \frac{1}{T\sqrt{1-T}}$$

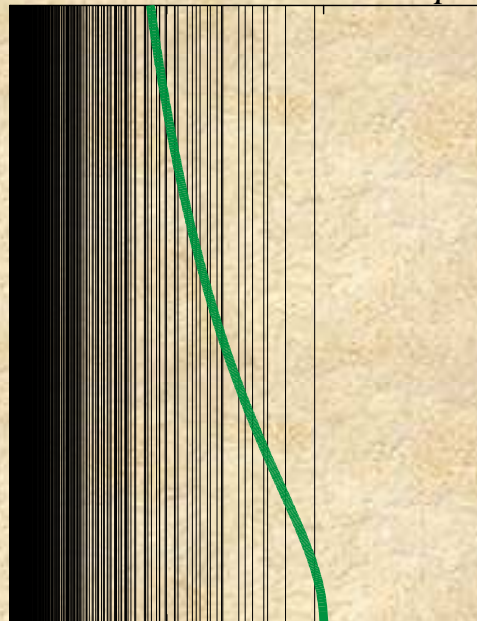
# Types of quantum contacts

Quantum contact: an individuality: pin-code  $T_p$

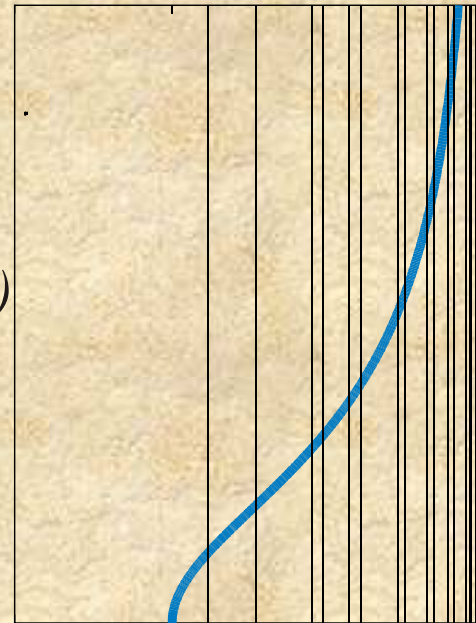
Type of a quantum contact:= shape of transmission distribution

Tunnel junction:  $T_p \approx 0$

Realistic QPC  $T_p \approx 1$



$\rho(T)$



## Turn to statistics: Two simple limits

Tunnel junction:  $T_n \ll 1$ : rare=independent electron transfers

$$\Lambda_t(\chi) = \exp(\tilde{N}(e^{i\chi} - 1)); \quad \tilde{N} \equiv t \langle I \rangle / e$$

QPC:  $T_n=1$ : electrons are waves: current does not fluctuate

$$\Lambda_t(\chi) = \exp(i\chi\tilde{N}); \quad \tilde{N} \equiv t \langle I \rangle / e$$

# Levitov formula

$$\ln \Lambda(\chi) = 2_s \Delta t \int \frac{dE}{2\pi\hbar} \sum_p \ln \left\{ \begin{array}{l} 1 + T_p (e^{i\chi} - 1) f_L (1 - f_R) + \\ T_p (e^{-i\chi} - 1) f_R (1 - f_L) \end{array} \right\}$$

## *Electron transfers*

- Independent in different energy strip
- Independent in different channels
- To the left and to the right: are dependent!
- Transfers at negative energy are blocked!

*blocking factor*

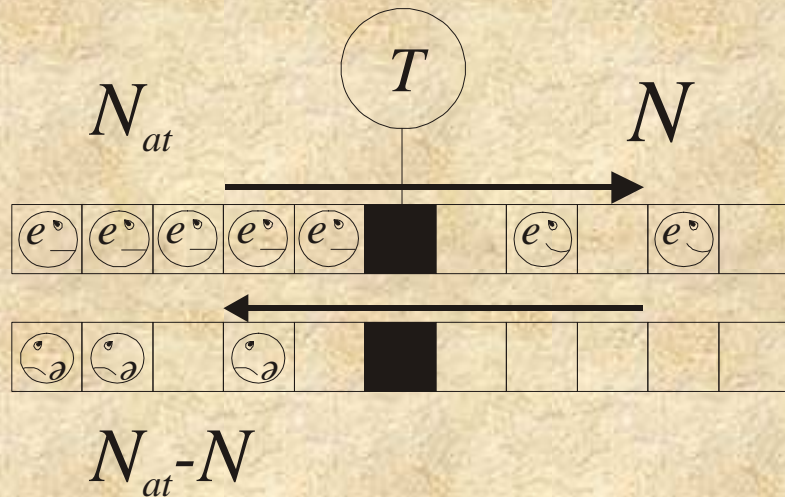
$$f_L (1 - f_R)$$

*Simple example:* electrons transferred in one direction

# Electrons gambling

$$\ln \Lambda(\chi) = N_{at} \ln \left\{ 1 + T_0 (e^{i\chi} - 1) \right\}$$

$$N_{at} = \frac{2_s e V t}{2\pi\hbar}$$



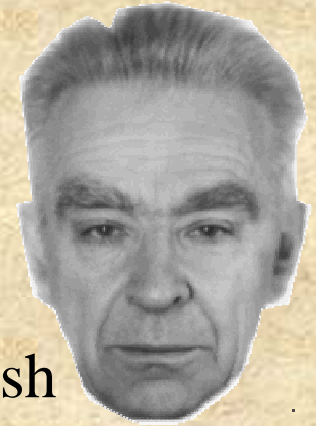
$N_{at}$  = number of game slots

$T_0$  = winning chance

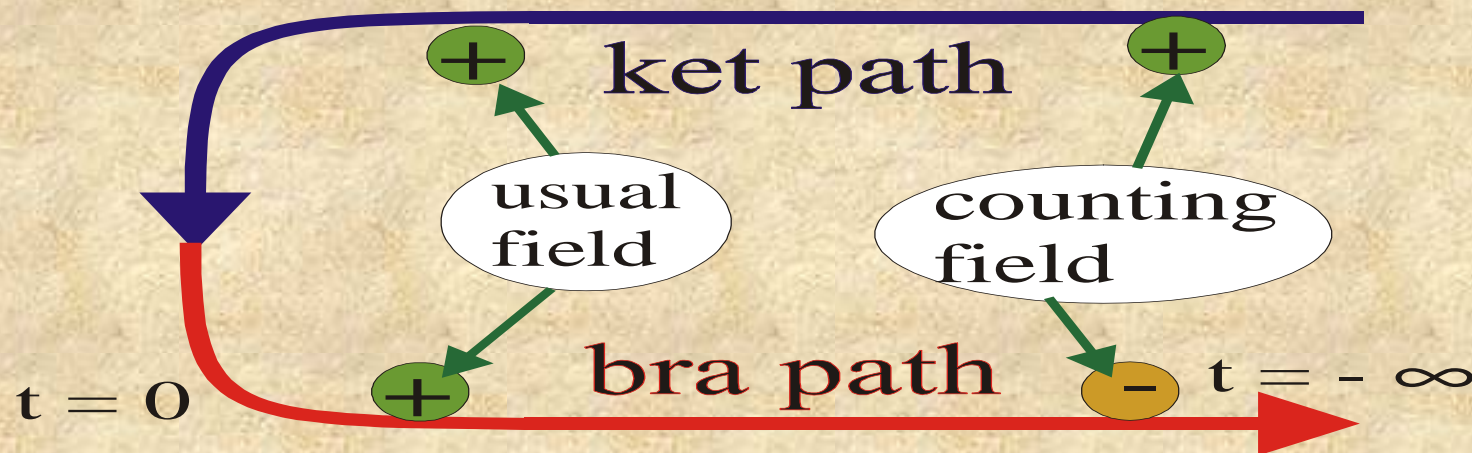
$N$  = number of games won

$$P_N = \binom{N_{at}}{N} T_0^N (1 - T_0)^{N_{at} - N}$$

# The method: Keldysh



- *N.*, '99: Extension: Generating function = Keldysh action with unequal Hamiltonians
- Difference: counting field



- $Q, M$ : Measuring = disturbing (with counting field)
- Remarkable symmetry between usual and counting fields

$$\hat{\tilde{\rho}}(t_1) = \hat{U}^{(+)}(t_0, t_1) \rho(t_0) \hat{U}^{(-)}(t_0, t_1)$$

$$\hat{U}^{(+)} = \text{T exp} \left\{ -\frac{i}{\hbar} \int_{t_0}^{t_1} dt \hat{\mathcal{H}}^{(+)}(t) \right\}$$

$$\hat{U}^{(-)} = \tilde{\text{T}} \text{ exp} \left\{ \frac{i}{\hbar} \int_{t_0}^{t_1} dt \hat{\mathcal{H}}^{-}(t) \right\} \neq (\hat{U}^{(+)})^{-1}$$

$$\hat{\mathcal{H}}^{(\pm)} = \hat{\mathcal{H}} \pm \hat{\mathcal{I}}\chi$$

- Counting statistics of

$$\hat{Q} = \int_{t_0}^{t_1} dt \hat{\mathcal{I}}(t),$$

$$\Lambda(\chi; t_1 - t_0) = \text{Tr} \left( \hat{\tilde{\rho}}(t_1) \right)$$

# Keldysh Green functions

- Two contours = 2x2 matrix

$$G = -i \langle T(\psi^+(r_1, t_1) \psi(r_1, t_1)) \rangle$$

- Generally: 8x8 (Keldysh x spin x Nambu)
- Reservoirs: isotropic: no coor. dependence

$$\hat{G}(t_1, t_2) \Rightarrow \hat{G}$$

$$\hat{G}^2 = 1$$

## Conform to Landauer-Buttiker approach: To cancel inside

- Greens –everywhere in the structure
- Inside: irrelevant within the scattering approach
- From coordinate-dependent Greens
- To Greens fixed in the reservoirs
- Answer in terms of **scattering matrix**

# Keldysh action

- Two-terminal

$$A = \frac{1}{2} \sum_p \text{Tr} \ln \left[ 1 + \frac{T_p}{4} (\hat{G}_L \hat{G}_R + \hat{G}_R \hat{G}_L - \hat{2}) \right]$$

# Green's with counting field

- Counting field = vector potential in a given cross-section

$$H_{count} = \frac{1}{2} \tau_z \chi \hat{I}$$

- Gauge transform  $\rightarrow$  to reservoir  
*transform* =  $\exp(i\tau_z \chi)$

- Common Greens

$$\check{G}_{usual} = \begin{bmatrix} 1 - 2f(E) & -2f(E) \\ -2 + 2f(E) & 2f(E) - 1 \end{bmatrix}$$

- With counting field

$$\check{G} = e^{-i\chi\tau_3/2} \check{G}_{usual} e^{i\chi\tau_3/2} = \begin{bmatrix} 1 - 2f(E) & -2fe^{i\chi} \\ (-2 + 2f(E))e^{-i\chi} & 2f(E) - 1 \end{bmatrix}$$